

TRANSPORT FOR LONDON

AUDIT COMMITTEE

SUBJECT: QUARTER 2 PRODUCTIVITY AND RESOURCES REPORT

DATE: 16 DECEMBER 2009

1 PURPOSE AND DECISION REQUIRED

1.1 The purpose of this report is to inform the Audit Committee of the outputs of, and the use of resources by, the Internal Audit team in Quarter 2 2009/10. The Committee is asked to note the report.

2 BACKGROUND

2.1 The Scorecard covering the second quarter of the year is attached.

3. RECOMMENDATION

3.1 The Audit Committee is requested to NOTE the content of this report and the attached Scorecard.

4. CONTACT

4.1 Contact: Clive Walker, Interim Director of Internal Audit
Email: Clivewalker@tfl.gov.uk
Phone: 020 7126 3022

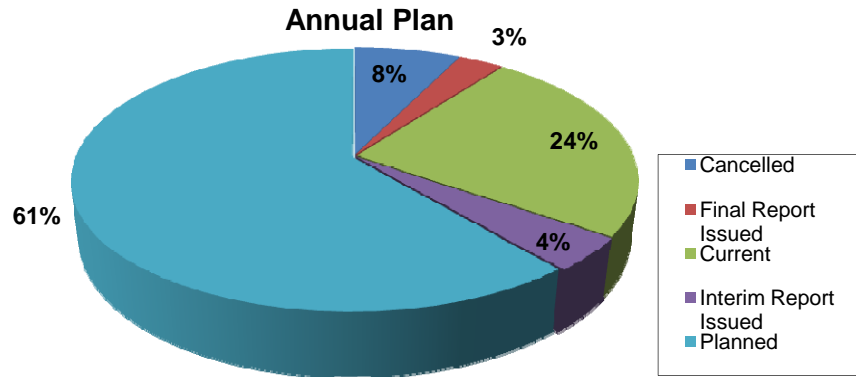
Internal Audit Score Card

Activity

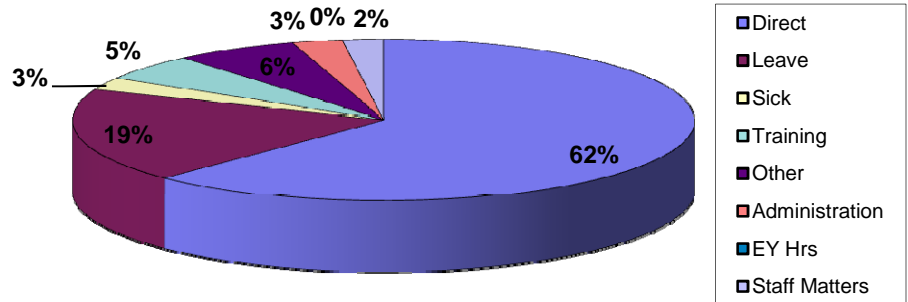
Business & Security Audits				Total	YTD
INT	15	10	7	32	62
Final	32	3	0	35	64

38 audits in progress

Fraud Investigations: New 4 Closed 12 In Progress 38



Utilisation YTD



Target is 70% direct

Quarter 2 (28 Jun - 19 Sept 09)

Resources

	Staff Numbers Bf	Joiners	Leavers	Staff numbers Cf	Budget	Variance
Director	1	0	0	1	1	0
Senior Managers and Managers	14	0	0	14	13	1
General Auditors	18	9	0	27	19	8
Fraud Investigators	5	0	0	5	5	0
Fraud Detection & Prevention	3	0	0	3	3	0
Business Support	8	0	0	8	7	1
Total	49	9	0	58	48	10

Note: A member of our Business Support staff is currently on maternity leave and is included in the Business Support staffing numbers.

Resources:

One of our internal auditors, has commenced a 6 month secondment to HR Shared Services, where she will be project managing the transfer of LU Nominee Companies' HR processes onto SAP.

Two new internal auditors joined the department in periods 5 and 6. A 'sandwich' student also joined the department on 7 September on a one-year placement.

The 6 internal auditors previously employed by the LU Nominee Companies have now transferred to TfL.

We have recruited an IM auditor to fill a vacancy that had arisen due to staff moves and two further internal auditors to enable us to cover the growing volume of Crossrail audit work. These new joiners will start work with us during period 7.

Performance against Budget:

We remain on target to meet our budget for the year.

	Issued	Returned
Customer Feedback Forms - YTD	59	41
Requests for assistance - YTD	7	