

Date: 11 October 2017

Item: Register of Gifts and Hospitality for Members and Senior Staff

This paper will be considered in public.

1 Summary

- 1.1 This paper sets out details of the gifts and hospitality declared by the Board and senior staff. This report covers the period 1 June to 31 August 2017. Details of the gifts and hospitality accepted by Members and the most senior staff are already routinely published on our website.

2 Recommendation

- 2.1 **The Committee is asked to note the paper.**

3 Background

- 3.1 TfL's policy on gifts and hospitality applies to TfL Board Members, all staff who work for TfL and staff contracted to work for TfL through a third party. It covers both gifts and hospitality offered directly or offered through a spouse or partner.
- 3.2 The policy starts from the premise that any gifts or hospitality offered should usually be declined. No offer should be accepted where there is a possibility, or a perception, of being influenced by it. The guidance provides advice on the few circumstances where acceptance might be appropriate but, as a guiding principle, Members and staff are advised to err on the side of caution and refuse any offer where there may be any doubt as to its integrity or lavishness.
- 3.3 The Board Members and staff are required to register with the General Counsel any gift or hospitality received in connection with their official duties that has a value of £25 or over, and also the source of the gift or hospitality. For staff, declarations are made at the end of every month. As the offering and acceptance of gifts or hospitality by Members is uncommon, they are asked to confirm any declarations at the end of every quarter. Offers accepted by Members and the most senior staff are then reviewed and published on tfl.gov.uk on a quarterly basis.
- 3.4 Gifts and hospitality declarations from Members, the Commissioner and Managing Directors, the General Counsel and the Chief Finance Officer have been published on tfl.gov.uk since 2012.
- 3.5 As part of the revised GLA Group Framework Agreement, considered by the Board on 22 September 2016, we committed to also provide a regular report to

the Audit and Assurance Committee on the gifts and hospitality accepted. For these reports, the staff covered has been extended to all staff that appear on the top level organisation chart published on tfl.gov.uk.

4 Reporting Period and Issues for Consideration

- 4.1 Appendix 1 sets out gifts and hospitality declared by senior staff over the five month period from 1 June 2017 to 31 August 2017 (the latest reporting period). There were no declarations by Members during this period.
- 4.2 A total of 271 declarations were made by senior staff in relation to gifts and hospitality received at a value of £25 or over within the period covered by the report (three months). A total of 192 offers were declined and 79 were accepted, which compares to 132 offers accepted in the previous five month (January to May 2017) reporting period.
- 4.3 The table below provides a summary of the number of offers accepted and declined by senior staff who received more than ten offers during the period.

Name	Role	Offers	Accepted	Declined
Mike Brown MVO	Commissioner	41	11	30
Ben Plowden	Strategy & Planning Director	38	7	31
Michele Dix	Managing Director Crossrail 2	30	6	24
Graeme Craig	Director of Commercial Development	29	10	19
Leon Daniels	Managing Director Surface Transport	25	18	7
Mark Wild	Managing Director London Underground	21	3	18
Sam Mullins	Director London Transport Museum	19	8	11
Stephen Field	Director of Pensions and Reward	18	1	17
Michael Hurwitz	Director of Transport Innovation	13	4	9

List of appendices to this report:

Appendix 1: Gifts and Hospitality Register, Members and Senior Staff 1 June 2017 to 31 August 2017.

List of Background Papers:

Corporate Gifts and Hospitality Register.

Contact Officer: Howard Carter, General Counsel
 Number: 020 3054 7832
 Email: HowardCarter@tfl.gov.uk

**Gifts and Hospitality - TfL Board Members and Senior Officers
1 June - 31 August 2017**

Appendix 1

ExCo	Provider of Gift/Hospitality	Detail of Gift/Hospitality	Date
Brown Mike	Grant Thornton	Masterclass speaking engagement and dinner	06/06/2017
Brown Mike	ACE	ACE Consultancy & Engineering Awards	07/06/2017
Brown Mike	Bombardier	Railway Children Pullman Event and dinner	13/06/2017
Brown Mike	Worshipful Company of Carmen	Carmen Guild's Lounge Party Luncheon	21/06/2017
Brown Mike	Panthea	Dinner	27/06/2017
Brown Mike	Network Rail	Dinner	29/06/2017
Brown Mike	The Infrastructure Forum	Speaking engagement at the Infrastructure Forum Advisory Council	20/07/2017
Brown Mike	Panthea Ltd	Dinner	27/07/2017
Brown Mike	Berkeley Group	Lunch	02/08/2017
Brown Mike	UK Sport & City Hall	London 2017 IAAF World Athletics Championships	10/08/2017
Brown Mike	Dragados	Dinner	17/08/2017
Craig Graeme	Turner & Townsend	Dinner	06/06/2017
Craig Graeme	LREF	Reception	13/06/2017
Craig Graeme	Deloitte	Dinner	15/06/2017
Craig Graeme	Victoria BID	Dinner	03/07/2017
Craig Graeme	LTM	Dinner	07/07/2017
Craig Graeme	PwC	Reception	07/07/2017
Craig Graeme	AKTII	Lunch	21/07/2017
Craig Graeme	Ellims	Reception	01/08/2017
Craig Graeme	Berkeley Group	Lunch	02/08/2017
Craig Graeme	J C Decaux	Drinks	07/08/2017
Daniels Leon	BYD	Dinner	01/06/2017
Daniels Leon	First Group	Dinner	06/06/2017
Daniels Leon	Railway Children Charity	Dinner	13/06/2017
Daniels Leon	Railway Children Charity Event	Reception	13/06/2017
Daniels Leon	Household Division Beating Retreat	Reception	14/06/2017
Daniels Leon	Chartered Institution of Highways & Transportation	Reception	15/06/2017
Daniels Leon	Metroline	Dinner	19/06/2017
Daniels Leon	Greener Journeys Advisory	Dinner	26/06/2017
Daniels Leon	Regent Street Association	Reception	28/06/2017
Daniels Leon	Dawsons Rentals Bus & Coach Ltd	Parliamentary reception and tour	29/06/2017
Daniels Leon	RAC Foundation	Dinner	03/07/2017
Daniels Leon	Arriva	Dinner	06/07/2017
Daniels Leon	Marshall of Cambridge (Holdings) Ltd	Event	15/07/2017
Daniels Leon	First Group	Dinner	19/07/2017

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Appendix 1

Daniels Leon	Go Ahead	Dinner	19/07/2017
Daniels Leon	IAAF World Championships in partnership with the GLA	Reception	11/08/2017
Daniels Leon	ATOS	Dinner	15/08/2017
Daniels Leon	LTCOA	Dinner	21/08/2017
Dix Michèle	Association for Consultancy and Engineering (ACE)	Awards event	07/06/2017
Dix Michèle	Independent Transport Commission	Lecture reception	21/06/2017
Dix Michèle	New Civil Engineer	Awards event	22/06/2017
Dix Michèle	CBI	Evening Dinner	03/07/2017
Dix Michèle	Major Projects Association	Reception	06/07/2017
Dix Michèle	Major Projects Association	Evening Reception	11/07/2017
Everitt Vernon	RAC Foundation	Dinner	03/07/2017
Kilonback Simon	Major Projects Association	Lunch and Investment in Infrastructure discussion	06/07/2017
Wild Mark	Whitechapel Gallery	Gallery Exhibition	06/06/2017
Wild Mark	Royal Albert Hall	Event	24/07/2017
Wild Mark	The Old Vic Theatre	Press night	26/07/2017
Williams Alex	New West End	Reception	15/06/2017
Wright Tricia	Deloitte	Lunch	06/06/2017
MD's direct reports			
Brand Justin	PMA Summer Forecast	Conference	29/06/2017
Field Stephen	Willis Towers Watson	Pensions and Savings Conference	13/06/2017
Hurwitz Michael	Andreessen Horowitz	Panel discussion about the intersection of policy, regulation and FinTech	14/06/2017
Hurwitz Michael	KPMG	Lunch meeting	20/06/2017
Hurwitz Michael	Bloomberg/Aspen Institute	Joint city initiative: Impacts of technology through best practice and policy discussions with city leaders and experts - Aspen, USA	26/06/2017
Hurwitz Michael	The Bruton Group	Networking reception	04/07/2017
Mullins Sam	United Grand Lodge of England	Rough to Smooth exhibition	19/06/2017
Mullins Sam	Edinburgh Fring Society	Reception	08/06/2017
Mullins Sam	Tower of London	Reception	21/06/2017
Mullins Sam	Royal Parks Guild	Launch reception	27/06/2017
Mullins Sam	Royal Academy of Engineers	Reception and dinner	29/06/2017
Mullins Sam	Art Fund	Reception and dinner	05/07/2017
Mullins Sam	National Portrait Gallery	Networking event	10/07/2017
Mullins Sam	Museums + Heritage	Annual awards show discussion and lunch	24/08/2017
Plowden Ben	Ricky Burdett, LSE and Political sciences	Public lecture on guiding principles of urban economic development, inclusive planning, affordable housing and equitable growth	13/06/2017
Plowden Ben	Centre for London	Dinner with Sir Malcolm Grant to discuss emerging findings and recommendations	10/07/2017

**Gifts and Hospitality - TfL Board Members and Senior Officers
1 June - 31 August 2017**

Appendix 1

Plowden Ben	Ricky Burdett, LSE and Political sciences	Public launch of the Urban Uncertainty Report	08/06/2017
Plowden Ben	MACE Group	Business lunch to discuss TfL relationships with major contractors	30/06/2017
Plowden Ben	Highways England	Networking dinner: Joint working on strategic highways issues	04/07/2017
Plowden Ben	TS Catapult	Business lunch to discuss TS Catapult Board	04/07/2017
Plowden Ben	Arup	Business meeting with Terry Hill - TS Catapult 5 year plan	04/07/2017
Smith Howard	Ardent Management	Summer reception	06/07/2017
Smith Howard	UK Rail Advisory	Rail Mail reception	05/07/2017
Turner Lucinda	Future of London	Board dinner and steering group	05/06/2017
Turner Lucinda	Haymarket	Awards event	21/06/2017
Verma Shashi	TechUK	TechUK annual dinner	19/07/2017