



Date: 5 March 2014

Item 4: Actions List

This paper will be considered in public

1 Summary

1.1 This paper informs the Committee of progress against actions agreed at previous meetings.

2 Recommendation

2.1 **The Committee is asked to note the Actions List.**

List of appendices to this report:

Appendix 1 – Actions List

List of Background Papers:

Minutes of previous meetings of the Committee

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Audit and Assurance Committee Actions List

Actions from the Last Meeting

Minute No.	Item/Description	Action By	Target Date	Status/note
77/12/13	Strategic Risk Management Quarter 2 2013/14 That further clarity on the risks associated with the pensions deficit be included in future reports, including mitigation measures and timescales.	David Goldstone	5 March	On agenda. Completed
78/12/13	National Fraud Initiative 2012/13 Update That the controls in place for issuing taxi driver licences, with particular regard to checking eligibility to work in the UK, be reported back to the next meeting.	Simon Bicknell	5 March	Information included in the report on the agenda. Completed.
88/12/13	National Fraud Initiative 2012/13 Update – Appendix That Internal Audit carry out a review of the Blue Badge process and the outcome be reported to the Surface Transport Panel, as appropriate.	Clive Walker	18 June	Scheduled.
89/12/13	Half Yearly Fraud Report 2013/14 Officers to investigate penalties for fraudulent Ticket Stop Agencies and whether criminal records to be shared with other ticket shop suppliers such as the National Lottery.	Clive Walker	18 June	Information will be included in the Half Yearly Fraud Report.
91/12/13	Legal Compliance Report (1 April 2013 – 30 September 2013) Further information to be provided on one case in the Employment Tribunal data.	Howard Carter	-	Information previously circulated. Completed.

Outstanding Actions from Previous Meetings

Minute No.	Item/Description	Action By	Target Date	Status/note
10/03/13	Integrated Assurance Plan 2013/14 That further consideration be given to including some specific work on industrial relations in the 2014/15 plan.	Clive Walker	5 March	On agenda. Completed.
55/10/13	Update: Strategic Risk Management Q1 2013/14 An Annual Report on Assurance and Risk would be submitted to the TfL Board, and this report would be submitted to a future meeting of the Committee.	David Goldstone	18 June	Scheduled.
55/10/13	Anti Fraud and Corruption Strategy and Policy Progress with implementation of the strategy would be reported regularly to the Committee as part of the Half Yearly Fraud Report.	Clive Walker	18 June	Scheduled.