

TRANSPORT FOR LONDON

AUDIT COMMITTEE

**SUBJECT: PRODUCTIVITY AND RESOURCES REPORT – QUARTER 3
 2008/09**

DATE: 28 JANUARY 2009

1 PURPOSE AND DECISION REQUIRED

1.1 The purpose of this paper is to inform the Audit Committee of the outputs of and use of resources by the Internal Audit team. The Committee is asked to note the paper.

2 BACKGROUND

2.1 The Scorecard covering the third quarter of the year is attached. Utilisation for the quarter is above target following the below target result last quarter due to the holiday period.

3 RECOMMENDATION

3.1 The Audit Committee is requested to NOTE the content of this paper and the attached scorecard.

4 CONTACT

4.1 Contact: Mary Hardy, Director of Internal Audit
 Phone: 020 7126 3022

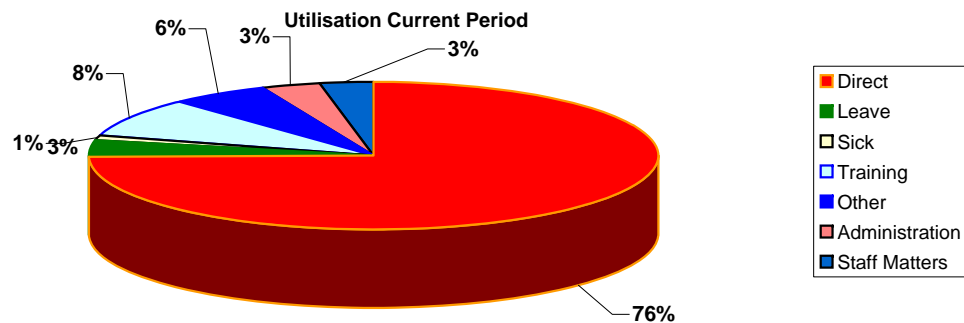
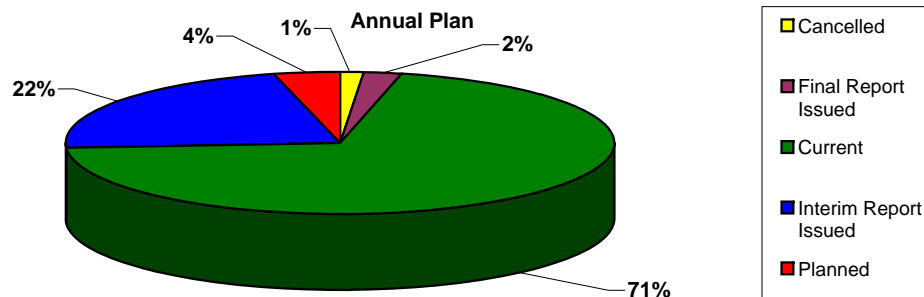
Q3 Productivity Resources Report 2008/09

Internal Audit Score Card

Activity					
Business & Security Audits					
				Total	YTD
INT	10	12	1	23	57
Final	18	1	0	19	53

153 audits in progress

Fraud Investigations: New 10 Closed 10 In Progress 36



Target is 70% direct

Q3 (14 09 08 - 06 12 08)

Resources						
	Staff Numbers Bf	Joiners	Leavers	Staff numbers Cf	Budget	Variance
Director	1	0	0	1	1	0
Senior Managers and Managers	15	0	0	15	15	0
General Auditors	16	1	0	17	19	-2
Fraud Investigators	5	1	1	5	5	0
Fraud Detection & Prevention	3	0	0	3	3	0
Business Support	7	0	0	7	7	0
Total	47	2	1	48	50	-2

Resources:

One auditor left the department to move back to LU after two and a half years with us. An Internal Auditor Projects joined and interviews continue to fill the other vacancy. The Security Auditor vacancy remains open and will be reviewed on completion of the audit planning process for 2009/10. Recruitment for the Senior Audit Manager – Crossrail continues, but in the meantime the SAM Projects has moved into the role for six months with his and other roles back-filled for the same period by other staff.

Performance against Budget:

We remain on target to meet our budget for the year.

	Issued	Returned
Customer Feedback Forms - YTD	54	35
Requests for assistance - YTD	11	