

Audit and Assurance Committee



Date: 11 October 2016

Item: Actions List

This paper will be considered in public

1 Summary

1.1 This paper informs the Committee of progress against actions agreed at previous meetings.

2 Recommendation

2.1 **The Committee is asked to note the Actions List.**

List of appendices to this report:

Appendix 1: Actions List

List of Background Papers:

Minutes of previous meetings of the Committee

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Audit and Assurance Committee Actions List (reported to 11 October 2016 meeting)

Actions from last meeting

Minute No.	Item/Description	Action By	Target Date	Status/note
19/06/16	Matters Arising and Actions List Members to be advised of the date of publication of the EY report on the Garden Bridge.	Howard Carter	When appropriate	On agenda. Completed.
20/06/16	TfL Annual Report 2015/16 That the section on Big Data should be included in a future cyber security update report.	Steve Townsend	11 October 2016 meeting.	Included in Cyber Security report on agenda. Completed.
22/06/16 06/03/16	EY Report to Those Charged with Governance That the Chief Finance Officer's Letter of Representation to EY be forwarded to the Chair of the Committee outside of the meeting, for information.	Secretariat	Sent to the Chair on 17 June 2016.	Completed.
27/06/16	Internal Audit Quarter 4 Report 2015/16 Clive Walker to check and confirm that a Governance Board had been established in relation to the procurement of advertising on bus shelters.	Clive Walker	11 October 2016 meeting.	A partnering Board has now been established to oversee the implementation of the advertising contract. Completed.

Minute No.	Item/Description	Action By	Target Date	Status/note
28/06/16	Internal Audit Annual Report 2015/16 That any relevant 'poorly controlled' reports be sent to the Chair of the Safety, Accessibility and Sustainability Panel in future.	Clive Walker	As appropriate	Ongoing.
34/06/16	Strategic Risk Management Update That future reports include the full descriptor as well as the summarised version.	Ian Nunn	Future meetings.	Ongoing.

Actions from previous meetings

Minute No.	Item/Description	Action By	Target Date	Status/note
09/03/16	Strategic Risk Management - Update on New Approach and Reporting Documentation An annual report on resilience, disaster planning and business continuity planning to be submitted in future.	Ian Nunn	June 2017 meeting.	On Forward Plan.