

TRANSPORT FOR LONDON

AUDIT COMMITTEE

SUBJECT: QUARTER 1 PRODUCTIVITY AND RESOURCES REPORT

DATE: 28 SEPTEMBER 2010

1 PURPOSE AND DECISION REQUIRED

1.1 The purpose of this report is to inform the Audit Committee of the outputs of, and the use of resources by, the Internal Audit team in Quarter 1 2010/11. The Committee is asked to note the report.

2 BACKGROUND

2.1 The Scorecard covering the first quarter of the year is attached.

3. RECOMMENDATION

3.1 The Audit Committee is requested to NOTE the report.

4. CONTACT

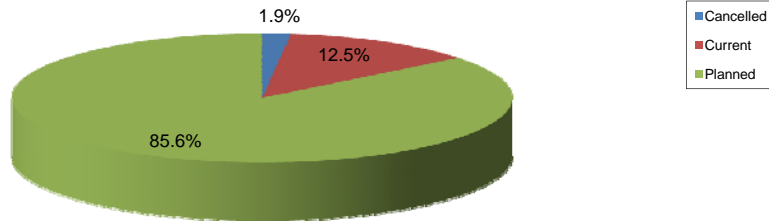
4.1 Contact: Clive Walker, Interim Director of Internal Audit
Phone: 020 7126 3022
Email: CliveWalker@tfl.gov.uk

Internal Audit Score Card

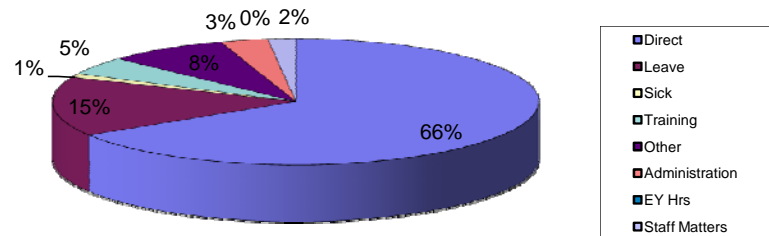
Activity

Business & Security Audits					
				Total	YTD
INT	15	16	0	31	31
Final	28	1	0	29	29
47 audits in progress					
We also issued 1 Audit Memorandum (YTD: 1)					
Fraud Investigations: New 4 Closed 4 In Progress 29					

Annual Plan



Utilisation YTD



Target is 70% direct

Quarter 1 (01 Apr 10 - 26 Jun 10)

Resources

	Staff Numbers Bf	Joiners	Leavers	Staff numbers Cf	Total Headcount per Budget	Variance
Director	1	0	0	1	1	0
Senior Managers and Managers	15	0	0	15	14	1
General Auditors	25	0	0	25	28	-3
Fraud Investigators	5	0	0	5	5	0
Fraud Detection & Prevention	3	0	0	3	3	0
Business Support	7	0	0	7	7	0
Total	56	0	0	56	58	-2

Note:

Resources:

There were no changes to resources during the quarter.

A process to recruit an IM Auditor on a six month contract to fill an existing vacancy was in progress at the end of the quarter, and the temporary member of staff took up his post during period 4.

Performance against Budget:

We are on target to meet our budget for the year.

	Issued	Returned
Customer Feedback Forms - YTD	31	22
Requests for assistance - YTD	5	