

TRANSPORT FOR LONDON

AUDIT COMMITTEE

SUBJECT: Q4 PRODUCTIVITY AND RESOURCES REPORT

DATE: 10 JUNE 2009

1 PURPOSE AND DECISION REQUIRED

1.1 The purpose of this paper is to inform the Audit Committee of the outputs of and use of resources by the Internal Audit team. The Committee is asked to note the paper.

2 BACKGROUND

2.1 The Scorecard covering the fourth quarter of the year is attached. The Scorecard for the full year is included in the Internal Audit Annual Report elsewhere on this agenda.

3 RECOMMENDATION

3.1 The Audit Committee is requested to NOTE the content of this paper and the attached scorecard.

4 CONTACT

4.1 Contact: Mary Hardy, Director of Internal Audit
Phone: 020 7126 3022

Q4 Productivity Resources Report 2008/09

Internal Audit Score Card

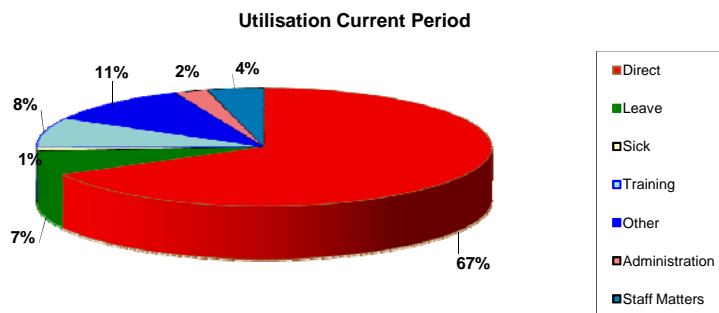
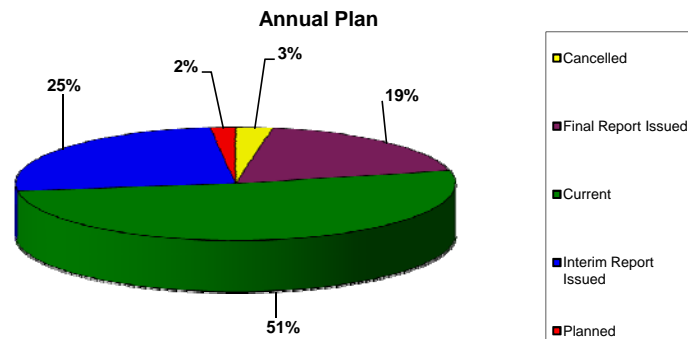
Q4 (13 12 08 - 31 03 09)

Activity

Business & Security Audits					
				Total	YTD
INT	15	18	2	35	97
Final	35	0	0	35	100

57 audits in progress

Fraud Investigations: New 8 Closed 5 In Progress 39



Target is 70% direct

Resources

	Staff Numbers Bf	Joiners	Leavers	Staff numbers Cf	Budget	Variance
Director	1	0	0	1	1	0
Senior Managers and Managers	15	0	0	15	15	0
General Auditors	18	1	1	18	19	-1
Fraud Investigators	5	0	0	5	5	0
Fraud Detection & Prevention	3	0	0	3	3	0
Business Support	7	0	0	7	7	0
Total	49	1	1	49	50	-1

Note: A member of our Business Support staff is currently on maternity leave and is included in the Business Support staffing numbers.

Resources:

Two Internal Auditors joined the team on 2 February 2009 and 9 March 2009 respectively. One Internal Auditor left the department on 27 March 2009. The recruitment process to fill the Security Auditor vacancy has been filled by a member of staff who was previously one of our IM Auditors. This, therefore, creates another vacancy that will have to be filled.

The Senior Audit Manager Crossrail role was filled and the successful candidate joined the team on 14 April 2009.

We are also recruiting for an additional four auditors to enable us to cover the growing volume of Crossrail audit work.

Finally, we have recruited one Business Support to the team on 24 April as maternity cover.

Performance against Budget:

Our expenditure for the full year was £4,339,000, which is £139,000 less than budget. Main areas of underspend were on our cosourcing arrangement with EY (£102,000) and on training costs (£52,000).

	Issued	Returned
Customer Feedback Forms - YTD	88	55
Requests for assistance - YTD	18	