

# **Interim Local Implementation Plan (LIP) Annual Spending Submission Guidance: 2017/18**

## **1 Purpose**

- 1.1 This note sets out interim LIP Annual Spending Submission (ASS) Guidance for 2017/18.

## **2 Recommendation**

- 2.1 That the London Boroughs adopt this note as high-level ASS Guidance for 2017/18, pending the release of more detailed Guidance as part of the wider development of a new Mayor's Transport Strategy (MTS) and TfL's Business Plan for 2017/18 and beyond.

## **3 Background**

- 3.1 The LIP is the mechanism by which boroughs deliver locally the MTS objectives and by which TfL provides funding support to the boroughs to this end. The boroughs play a critical role in achieving MTS outcomes and TfL recognises this through the LIP arrangements.
- 3.2 Once a new MTS has been published TfL will issue revised guidance on the preparation of new borough LIPs. A working group has been set up by London Councils with representation from the London Technical Advisers Group (LoTAG), the boroughs and the GLA working with TfL to look at key issues of timing, requirements and resources in relation to producing new LIP documents.
- 3.3 2016/17 is the final year of the current three-year LIP funding period. Typically, TfL provides ASS Guidance on requirements each May / June and boroughs submit programmes each October via the ASS, six months ahead of the new financial year. Given the development of a new MTS and TfL's Business Plan for 2017/18 and beyond, however, it is not possible for TfL to issue full updated ASS Guidance at this point.
- 3.4 TfL is therefore issuing this high-level Guidance on programme themes and budgets to inform borough planning for 2017/18 and (where relevant) for subsequent years. To this end, it is recommended that the boroughs continue to use the ASS Guidance issued by TfL for 2016/17 until TfL advises otherwise.

## **4 Requirements for 2017/18**

- 4.1 It is recommended that the boroughs adopt a 'business as usual' approach to planning their 2017/18 programmes. This should be done on the basis of current Guidance and funding levels. However, boroughs should clearly prioritise their programmes in the event that less funding is ultimately available. The aim is still to submit draft programmes via the ASS in October.
- 4.2 The existing Guidance can be considered fit for purpose as it sets out appropriate and workable processes and requirements for the delivery of LIP supported schemes. The Guidance is attached as an adjunct to this note and is structured as follows:

- **Introduction: Annual Spending Submission:** this section summarises the minimum requirements to be provided within the Annual Spending Submission (see section 4.3 below).
- **Relevant policies and TfL Investment:** this section details relevant policy developments and complementary investment available though these are subject to change in the light of the new Mayor's priorities and the outcome of TfL's business planning process (see sections 4.4 below).
- **LIP funding:** this section sets out the available LIP funding (see section 4.7 below).
- **Pro forma A:** this is the pro forma boroughs must use to submit their Annual Spending Submissions.
- **Annual report on interventions and outputs (Pro forma C):** this section confirms the process to be followed in submitting to TfL details of delivery within the relevant financial year.
- **Advice and support:** contact details for relevant officers within TfL.

4.3 The Guidance stipulates that the submissions from the London boroughs should include the following core requirements:

- A breakdown of the proposed expenditure on a project-by-project basis for the year ahead and for future years where appropriate (i.e. for projects that will extend beyond the year ahead).
- Identify the role of non-LIP funding (e.g. the council's own capital and revenue funding and third party contributions) in delivering the interventions identified.
- Provide details of the initiatives to be taken forward during the year ahead, with information relating to the impact of the interventions on MTS outcomes, TfL services and infrastructure, traffic signal requirements, Road Task Force street types and other matters.
- Ensure key requirements set out within the LIPs Guidance are met (see sections 4.5 - 4.6 below on supplementary requirements).
- Confirm the date by which the proposed interventions will be delivered.
- Report on the delivery in the previous financial year (e.g. length of cycle lanes implemented).

4.4 The Guidance for 2016/17 details the complementary TfL Business Plan resources that have been made available to support specific Mayoral priorities such as cycling, bus stop accessibility, Crossrail complementary measures and air quality improvements. Where appropriate and as per the requirement for 2016/17, TfL will continue to look for boroughs to prioritise these areas within their LIP programmes for 2017/18 (and to confirm their own resources such as S.106 & parking revenue) in order for boroughs to unlock this additional funding and to ensure TfL is maximising the value of its investment.

- 4.5 There were also a number of additional reporting requirements and matters for consideration included in the 2016/17 LIP Guidance<sup>1</sup>. These included linkages to the Road Safety Action Plan and daughter documents, together with requirements in respect of freight, walking, buses and other TfL services / infrastructure, Section 17 of the Crime and Disorder Act and the work of the Mayor's Roads Taskforce.
- 4.6 Furthermore, for the first time the boroughs were asked to produce an Asset Management Status Report (AMSR) to capture core information on asset quantities and condition / performance, as well as asset expenditure and management practices. This is to help TfL build the evidence base for investment in London's highways infrastructure as well as identifying further areas of good practice that can be shared across London to help promote continual improvement in asset management.
- 4.7 The boroughs should consider the supplementary conditions described at Sections 4.4 - 4.6 still to be applicable. In addition to the above matters, it is recommended that the boroughs set out a base plan for 2017/18 at current year LIP funding levels and assume a similar split of LIP funding by programme. This is as shown in the table below:

<b>Indicative Funding Programme</b>	<b>2016/17</b> [assume the same for 2017/18]
Principal Road Maintenance	£22.00m
Bridge Strengthening	£8.90m
Major Schemes	£28.00m
Traffic Signal Modernisation	£10.30m
Corridors, Neighbourhoods and Supporting Measures	£74.25m
Top-sliced (training, partnerships, Local Transport Fund)	£4.35m
<b>TOTAL</b>	<b>£147.80m</b>

- 4.8 Ahead of publication of the TfL Business Plan for 2017/18 and beyond, boroughs are encouraged to make clear their delivery priorities in their 2017/18 ASS: this could be achieved by ranking schemes in order of priority, for example. Thus once 2017/18 funding has been confirmed, boroughs can move quickly to confirm an ASS that reflects local priorities.

## **5 Next Steps**

- 5.1 This note together with the 2016/17 ASS Guidance is intended to inform and support LIP programme planning for 2017/18. TfL plans to issue more detailed though interim guidance next spring to cover the 2018/19 financial year.

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<sup>1</sup> The conditions on the use of road humps as described at Section 5.2 of the 2016/17 ASS Guidance no longer apply.

- 5.2 Boroughs are required to submit the relevant documents according to the following deadlines:
- 8 July 2016 - deadline for the annual report (pro forma C) on LIP schemes and outputs from 2015/16;
  - 9 September 2016 - deadline for new Major Scheme bids for 2017/18 (pending capacity within this programme to accept new schemes); and
  - 28 October 2016 - deadline for LIP 2017/18 Annual Spending Submission and Asset Management Status Report.
- 5.3 The wider indicative timetable of MTS / LIP activity over the next two years can be summarised as follows:

<b>Date</b>	<b>Activity</b>
Jun 2016	TfL issues high-level ASS Guidance for 2017/18
Sep 2016	“Towards...” Mayoral direction of travel document published
Oct 2016	Boroughs submit 2017/18 LIP programmes (ASS)
Mar 2017	Draft Mayor’s Transport Strategy published, alongside: <ul style="list-style-type: none"> <li>– TfL Business Plan</li> <li>– Mayor’s Environment Strategy</li> <li>– Mayor’s Economic Development Strategy</li> <li>– Mayor’s Housing Strategy</li> </ul> TfL issues draft LIP3 Guidance to the boroughs
May 2017	TfL issues 2018/19 ASS Guidance (if appropriate)
Jul 2017	Draft London Plan published
Oct 2017	Final MTS published Final LIP3 Guidance published Boroughs submit 2018/19 LIP programmes (ASS)
Nov 2017	Boroughs begin preparing LIP3 documents
May 2018	Local Council elections: until the outcomes are known boroughs may be reluctant to engage fully with the LIP3 process

## 6 Contacts

6.1 Contact details for the Borough Projects & Programmes team and Road Maintenance and Bridges officers are provided in the table below.

<b>Name:</b>	<b>Title/Subject:</b>	<b>Contact No.:</b>	<b>Email address</b>
Duro Basic	Principal Road Maintenance and Bridge Strengthening	020 3054 1129	<a href="mailto:Duro.Basic@tfl.gov.uk">Duro.Basic@tfl.gov.uk</a>
Julie Clark	Regional Borough Programme Manager (East)	020 3054 0850	<a href="mailto:julieclark@tfl.gov.uk">julieclark@tfl.gov.uk</a>
Tony Clark	Borough Portal Support	020 3054 4994	<a href="mailto:bspsupport@tfl.gov.uk">bspsupport@tfl.gov.uk</a>
Daniel Johnson	Regional Borough Programme Manager (Central)	020 3054 4710	<a href="mailto:Danieljohnson@tfl.gov.uk">Danieljohnson@tfl.gov.uk</a>
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Peter McBride	Regional Borough Programme Manager (South)	020 3054 0862	<a href="mailto:Peter.McBride@tfl.gov.uk">Peter.McBride@tfl.gov.uk</a>
Sam Monck	Head of Borough Projects & Programmes	020 3054 4181	<a href="mailto:SamMonck@tfl.gov.uk">SamMonck@tfl.gov.uk</a>
Anthony O'Keeffe	Major Schemes	020 3054 4989	<a href="mailto:Anthonyokeeffe@tfl.gov.uk">Anthonyokeeffe@tfl.gov.uk</a>

## 7 Addendum

2016/17 LIP Annual Spending Submission Guidance