

Agenda

Meeting: Audit and Assurance Committee

Date: Monday 9 March 2015

Time: 10.00am

**Place: Conference Rooms 1 and 2,
Ground Floor, Palestra,
197 Blackfriars Road, London,
SE1 8NJ**

Members of the Committee

Keith Williams, Chair
Steve Wright, Vice Chair
Richard Barnes

Charles Belcher
Brian Cooke
Baroness Grey-Thompson DBE

Further Information

The papers and any attachments are available on
<http://www.tfl.gov.uk/corporate/publications-and-reports/audit-and-assurance-committee>

If you have questions, would like further information about the meeting or require special facilities please contact: Sue Riley, Secretariat Officer; telephone: 020 7983 4392; email: SueRiley@tfl.gov.uk.

For media enquiries please contact the TfL Press Office; telephone: 0845 604 4141; email: PressOffice@tfl.gov.uk.

This meeting will be open to the public, except for where exempt information is being discussed as noted on the agenda. There is access for disabled people and induction loops are available. A guide for the press and public on attending and reporting meetings of local government bodies, including the use of film, photography, social media and other means is available on www.london.gov.uk/sites/default/files/Openness-in-Meetings.pdf.

Howard Carter, General Counsel
24 February 2015

**Audit and Assurance Committee
Monday 9 March 2015**

Agenda Part 1

1. Apologies for Absence and Chair's Announcements

2. Declarations of Interests

General Counsel

Members are reminded that any interests in a matter under discussion must be declared at the start of the meeting, or at the commencement of the item of business.

Members must not take part in any discussion or decision on such a matter and, depending on the nature of the interest, may be asked to leave the room during the discussion.

3. Minutes of the Meeting of the Audit and Assurance Committee held on 17 December 2014

General Counsel

The Committee is asked to approve the minutes of the previous meeting of the Audit Committee and authorise the Chair to sign them.

4. Matters Arising and Actions List

General Counsel

The Committee is asked to note the updated actions list.

Internal Audit Matters

5. Management Actions Report

Director of Internal Audit

The Committee is asked to note the report.

6. Internal Audit Quarter 3 Report 2014/15

Director of Internal Audit

The Committee is asked to note the report.

7. Crossrail Audit Committee

Director of Internal Audit

The Committee is asked to note the paper.

8. Integrated Assurance Plan 2015/16

Director of Internal Audit

The Committee is asked to approve the plan.

Accounting and Governance

9. Review of TfL's Independent Reporting Lines for Year Ended 31 December 2014

General Counsel

The Committee is asked to note the update.

10. National Fraud Initiative 2014 Update

Interim Chief Finance Officer

The Committee is asked to note the update.

11. Strategic Risk Management Update – Quarter 3

Interim Chief Finance Officer

The Committee is asked to note the update.

12. Controls Over Procurement Authorities

Interim Chief Finance Officer

The Committee is asked to note the report.

13. Policy on Disclosure of Personal Data to the Police and Statutory Law Enforcement Agencies

General Counsel

The Committee is asked to approve the Policy.

14. Any Other Business the Chair Considers Urgent

The Chair will state the reason for urgency of any item taken.

15. Date of Next Meeting

Tuesday 16 June 2015 at 10.00am

16. Exclusion of Press and Public

The Committee is recommended to agree to exclude the press and public from the meeting, in accordance with paragraph 3 of Schedule 12A to the Local Government Act 1972 (as amended), in order to consider the following items of business.

Agenda Part 2

Papers containing supplemental confidential or exempt information not included in the related item on Part 1 of the agenda.

17. Strategic Risk Management Update - Quarter 3

Interim Chief Finance Officer

Exempt supplemental information relating to the item on Part 1.

18. Controls Over Procurement Authorities

Interim Chief Finance Officer

Exempt supplemental information relating to the item on Part 1.

Members are scheduled to hold the annual private meeting with the Chief Finance Officer following the meeting.