

Date: 8 October 2014

Item 10: Management Actions Report

This paper will be considered in public

1 Summary

1.1 The purpose of this report is to inform the Audit and Assurance Committee of those recommendations to management which have not been actioned and are more than 60 days overdue.

2 Recommendation

2.1 The Committee is asked to note the report.

3 Background

3.1 A full statistics report is attached as Appendix 1.

3.2 There are no actions more than 60 days overdue.

3.3 This report includes, for the first time, actions arising out of HSE and Technical audits. As a consequence, the total number of actions in relation to Rail and Underground is considerably higher than in previous reports, and includes several in the 31-60 days overdue category. Internal Audit are liaising closely with the responsible management, to ensure that all overdue actions are closed without further delay.

List of appendices to this report:

Appendix 1: Outstanding Actions Statistics

List of Background Papers:

Audit reports.

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Outstanding Actions Statistics

Directorate:	<=0	1 - 30 Days:	31-60 Days:	61-90 Days:	91 + Days:
Crossrail	1	0	0	0	0
General Counsel	2	0	0	0	0
Surface Transport	5	0	0	0	0
Customer Experience, Marketing and Communications	8	8	3	0	0
Rail and Underground	118	1	14	0	0
Finance	28	0	1	0	0
Planning	0	0	0	0	0
Group HR	23	0	2	0	0