

Transport for London

Standing Orders

From 19 October 2023

Approved by the Board via Chair's Action on 30 September 2020 with effect from 1 October 2020. Updated 31 January 2022 under Standing Order 5(b) by the General Counsel to reflect changes in Postholders, titles and responsibilities of Officers. Updates approved by the Board on 23 March 2022 to reflect the establishment of the Land and Property Committee and a delegation of treasury management matters to the Finance Committee. Update approved by the Board on 8 June 2022, to support collective decision making. Updated 1 November 2022 under Standing Order 5(b) by the General Counsel to remove references to Chief Executive of Crossrail and to add Chief Officer Pensions Review to the definition of Chief Officer. Updated on 22 September 2023, under Standing Order 5b by the General Counsel to reflect the completion of the Crossrail Project and end of the special purpose Elizabeth Line Committee (as agreed by the Board on 7 June 2023) and role changes, including a separation of Land Transactions into Commercial Land Transactions and Operational Land Transactions to reflect different responsibilities within TfL. Updated on 19 October 2023, under Standing Order 5(b) by the General Counsel to reflect role changes in relation to Operational Land Transactions.

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Summary and explanation

This summary is a guide to the basic principles of how Transport for London (TfL) works and what decisions can be made and by whom.

Establishment and relationship with the Greater London Authority

TfL is the integrated transport authority for London. Its purpose is to keep London moving, working and growing, and to make life in London better.

TfL was created in July 2000 by the Greater London Authority (GLA) Act 1999, which covers the appointment of the Members of the TfL Board and sets out many of TfL's statutory powers and duties.

The GLA is the strategic regional authority for London, with powers over transport, policing, economic development and fire and emergency planning. The GLA consists of an executive Mayor of London and the London Assembly (which has scrutiny powers) and it has functional bodies. TfL is a functional body of the GLA.

The Mayor:

- appoints the TfL Board;
- determines TfL's budget for each financial year, having consulted with the London Assembly; and
- has the power to direct TfL to do certain things.

The London Assembly:

- holds the Mayor to account by publicly examining policies and programmes through committee meetings, plenary sessions, site visits, investigations and at Mayor's Question Time; and
- is consulted by the Mayor before producing statutory strategies and the budget for the GLA Group (including TfL).

Introduction to and structure of Standing Orders

Standing Orders are the primary internal governance document for TfL. They set out the role and responsibilities of the Board, the decision-making structure and proceedings of the Board and the delegation of authorities to groups and individuals within TfL.

Standing Orders are organised into three parts and a glossary. A separate document sets out the membership and terms of reference of the Board's Committees and Panels.

Part 1: Decision-Making Structure and Proceedings

Part 1 explains how the Members of TfL are appointed and the range of knowledge, skills and experience that form the criteria for their selection. Together, the Members constitute the Board.

This part defines how the meetings of the Board and its Committees and Panels operate. This includes how meetings are called, how agendas and papers are issued, who attends the meetings, how Members' interests are dealt with, how Officers report to Members and how documents are sealed and executed.

This part also sets out the rights of Members and the public to access information and attend meetings. TfL is committed to transparency in its decision-making and seeks to keep to a minimum the amount of information that is exempt from publication and the exclusion from the meeting of the public and press for the consideration of exempt information.

Part 2: Scheme of delegation

Part 2 sets out the matters that are reserved to the Board and those that are delegated to its Chair, Committees, Subsidiary Entities and to Officers.

The Board reserves to itself approval of the most important matters affecting the organisation, including:

- the provision of strategic guidance;

- approval of key decisions, such as TfL's Business Plan, the detailed TfL Group Budget, the Annual Report and Statement of Accounts and strategic issues; and
- the appointment and removal of the Members of Committees and Panels, directors of some of its Subsidiary Entities and associate or joint venture entities, advisers and the Commissioner for Transport and specified other senior staff.

The Board delegates authority to the following:

- **The Chair of TfL** – in situations of urgency, the Board delegates to the Chair the exercise of any of its functions;
- **Committees** – the Board delegates specific significant matters to its Committees as set out in their terms of reference;
- **Subsidiary Entities** – the Board delegates to its Subsidiary Entities the discharge of functions relevant to each Entity's role and responsibilities, unless reserved to the Board.
- **Officers** – subject to financial limits, the Board delegates the day-to-day management of TfL to the Commissioner, Chief Officers and other Officers. They are responsible for the delivery of the Business Plan, as well as operational and budgetary performance. Standing Orders specifies the general delegations to these Officers and in relation to specific activities or parts of the business.

Part 3: Scheme of authorities

Before any Project is embarked upon or any Transaction is entered into on behalf of any member of the TfL Group, the necessary Authorities must have been obtained in accordance with the Scheme of Authorities. This part explains the types of Authority required, sets out a Table of Authorities that relate to authorising bodies and post holders and other requirements relating to the grant of Authorities.

Glossary

The Glossary defines terms used throughout Standing Orders and in the constitution of TfL's Committees and Panels.

Interpretation and Amendment

Interpretation

1. Standing Orders apply to the TfL Group.
2. The Commissioner, acting on advice from the General Counsel, will determine the final interpretation of any of the provisions of Standing Orders. Advice from the General Counsel on the interpretation of Standing Orders may also take the form of guidance to TfL as a whole.
3. In Standing Orders, the following applies:
 - (a) Words and phrases shall have the meanings set out in the Glossary.
 - (b) References to signatures or signing include the use of electronic signatures provided they are produced in accordance with procedures issued by the General Counsel.
 - (c) Where authority, responsibility or a specific right is given to a named position, the same authority, responsibility or right applies to any person acting in place of that named position.
 - (d) Where any Programme and Project Authority, Land Authority or Procurement Authority is given by the Board or any of its Committees, that Authority includes authority for any of the Delegated Officers or any relevant Subsidiary Entity of TfL to:
 - (i) finalise the terms of any agreement or other documentation related to the matter for which Authority is given;
 - (ii) authorise the execution (whether by deed or otherwise) of any documentation to be entered into in connection with the completion and implementation of the matter for which Authority is given including (without limitation) all agreements, deeds, guarantees, indemnities, announcements, notices, contracts, certificates, letters or other documents; and
 - (iii) do all such things as they consider necessary or desirable to facilitate the execution and implementation of the documentation relating to the matter for which Authority has been given and any matter referred to in it.
 - (e) In order for any authority, responsibility or a specific right to be validly given, or any constraint to be validly imposed, using the authority of Standing Orders, it must be in writing and in accordance with any applicable financial procedures issued by the Chief Finance Officer.

- (f) Where Standing Orders require or permit the Chief Finance Officer to issue guidance, that guidance may be general or specific to a particular matter.
- (g) Any amount stated includes all amounts up to and including that stated amount.
- (h) All amounts stated are exclusive of Value Added Tax.
- (i) A document required to be open for inspection in Standing Orders shall be so open at all reasonable hours and:
 - i. in the case of a document open to inspection under standing order 58, upon payment of such reasonable fee as may be required for the facility; and
 - ii. in any other case, without payment.
- (j) Where a document is open to inspection by a person under Standing Orders, the person may:
 - i. make copies of all or part of the document; or
 - ii. require the person having custody of the document to supply to him a photographic copy of or extracts from the document, upon payment of such reasonable fee as may be required for the facility.
- (k) References to Committees in Standing Orders will apply to any Sub-Committees established by the Board or a Committee from time to time as if that Sub-Committee was a Committee.

Amendments to Standing Orders

- 4. With the exception of those matters listed in standing order 5 below, amendments to Standing Orders is a matter reserved to the Board.
- 5. The General Counsel, or any other Officer nominated by the General Counsel, is authorised to make any amendment to Standing Orders necessary to reflect:
 - (a) any change in membership of the Board, Committees or Panels;
 - (b) any change in Postholders or titles or responsibilities of Officers; or
 - (c) any decisions of the Board.

Part 1: Decision-Making Structure and Proceedings

Membership

6. TfL shall consist of not less than eight nor more than 17 Members all of whom shall be appointed by the Mayor. If the Mayor chooses to be a Member of TfL he or she shall appoint not less than seven nor more than 16 other Members.
7. In appointing Members, the Mayor shall have regard to the desirability of ensuring that the Members have between them experience in the following:
 - (a) transport (including in particular the impact of transport on business and the environment);
 - (b) finance and commerce;
 - (c) national and local government;
 - (d) the management of organisations; and
 - (e) the organisation of trade unions or matters relating to workers generally.
8. In addition, the Mayor must ensure that at least two Members are able to represent the interests of the persons living, working and studying in areas outside Greater London that are served by railway passenger services in respect of which TfL carries out functions or is likely to do so.
9. A list of Members will be kept by the General Counsel and will be publicly available.
10. Membership will cease when a Member:
 - (a) has reached the end of his or her appointed term;
 - (b) is removed from office by a written notice from the Mayor; or
 - (c) resigns by written notice given to the General Counsel.
11. As soon as reasonably practicable the Board will be notified of a Member's:
 - (a) appointment or re-appointment;
 - (b) removal from office; or
 - (c) resignation.

Chair/Deputy Chair

12. When the Mayor is a Member the Mayor is the Chair. When the Mayor is not a Member the Mayor is required to designate a Member to be the Chair. In either case the Mayor must designate a Member to be the Deputy Chair.

13. In the absence of the Chair, the Deputy Chair will chair Meetings and otherwise act on behalf of the Chair in respect of all requirements of Standing Orders. When neither the Chair nor the Deputy Chair is present at a Meeting, those Members present will elect a person to chair the Meeting from amongst themselves.

Secretary

14. The Secretary will be the General Counsel. The General Counsel may appoint one or more assistant secretaries to carry out or facilitate the tasks of the Secretary.
15. The General Counsel is the nominated Officer for receipt of the Mayor's guidance, directions or delegations and will notify such guidance, directions or delegations:
 - (a) to Members at a Meeting as soon as reasonably practicable or individually to Members sooner, if appropriate; and
 - (b) to the Commissioner and such other Officers as the General Counsel considers appropriate.
16. The General Counsel shall ensure that there will be kept at TfL's Head Office a written summary of the rights:
 - (a) to attend Meetings of TfL and of Committees of TfL; and
 - (b) to inspect and copy documents and to be furnished with documents,which are for the time being conferred by Part 5A of the Local Government Act 1972 and such other relevant legislation.
17. The list maintained under standing order 9, the list maintained under standing order 111 and the summary kept under standing order 16 shall be open for inspection by the public at TfL's Head Office.

Quorum

18. No business may be transacted at a Meeting unless a Quorum is present.
19. The Quorum in relation to any resolution is four Members who are entitled to vote and who are physically present in the meeting room.
20. Members may participate in a Meeting by way of telephone or video conferencing facilities but do not count towards the Quorum nor are they entitled to vote.

Attendance by Officers

21. Unless the Board decides otherwise the following persons, or their designated representatives, will be present at Meetings, in person, or by way of telephone or video conferencing facilities, to provide advice:
 - (a) the Commissioner;
 - (b) the General Counsel; and
 - (c) the Chief Finance Officer.
22. With the Commissioner's agreement other Officers may attend all or any part of a Meeting to provide advice.

Attendance by the public

23. A Meeting shall be open to the public except to the extent that they are excluded under standing order 24 or by resolution under standing order 25.
24. The public shall be excluded from a Meeting during an item of business whenever it is likely in the opinion of the Chair, that in view of the nature of the business to be transacted or the nature of the proceedings, if members of the public were present during that item, confidential information would be disclosed to them in breach of the obligations of confidence.
25. TfL may by resolution exclude the public from a Meeting during an item of business whenever it is likely, in the opinion of the Chair, that in view of the nature of the business to be transacted or the nature of the proceedings, that if members of the public were present during that item there would be disclosure to them of Exempt Information.
26. A resolution under standing order 25 shall:
 - (a) identify the proceedings, or the part of the proceedings, to which it applies; and
 - (b) state the description, in terms of the definition of the Exempt Information giving rise to the exclusion of the public,and where such a resolution is passed, the Meeting is not required to be open to the public during proceedings to which the resolution applies.
27. When a Meeting is open to the public:
 - (a) TfL does not have the power to exclude members of the public from the Meeting except to suppress or prevent disorderly conduct or other misbehaviour at the Meeting;

- (b) TfL shall allow any person to attend the Meeting for the purposes of reporting and allow any persons with the aim of reporting to use any communication methods, including the internet, to publish, post or otherwise share the results of their reporting activities, during or after the meeting; and
- (c) duly accredited representatives of newspapers attending the Meeting for the purpose of reporting the proceedings for those newspapers shall, so far as practicable, be afforded reasonable facilities for taking their report and, unless the Meeting is held in premises not belonging to TfL or not on the telephone, for telephoning the report at their own expense.

Meetings held by telephone or video conferencing facilities

28. With the agreement of the Commissioner before the Meeting, or the Chair at the Meeting, Members may participate in a Meeting by telephone or by the use of video conferencing facilities provided that:
- (a) all Members present at the Meeting can hear each other and, in relation to public Meetings, can be heard by the public; and
 - (b) all Members can effectively participate in the proceedings or alternative arrangements can be made where a Member cannot participate in this way by reason of a disability.

Calling, frequency and notification of Meetings

29. Public notice of the time and place of the Meeting shall be given by posting it at TfL's Head Office and, if the Meeting is to be held at premises other than those offices, at those premises, at least five clear working days before the Meeting or, if the Meeting is convened at shorter notice, then at the time it is convened.
30. All ordinary Meetings will:
- (a) be called by the General Counsel;
 - (b) have at least 10 working days' notice given before the Meeting date; and
 - (c) be held at least six times a year or at such greater frequency as determined by the General Counsel in consultation with the Commissioner.
31. A special Meeting may be called at any time by:
- (a) the Chair;
 - (b) the Chair of any Committee;
 - (c) the General Counsel, in consultation with the Commissioner; or

- (d) written requisition in accordance with standing order 32.
- 32. A special Meeting must be called by the General Counsel after receipt of a written requisition that specifies the item(s) to be considered, submitted to the General Counsel by at least four Members. A written requisition can consist of one or more documents. In the latter case the receipt of a document that brings the total number of Members requisitioning a special Meeting to at least four will trigger the requirement for the General Counsel to call a special Meeting.
- 33. Subject to standing order 34, the notice period for a special Meeting will be determined by the General Counsel, in consultation with the Commissioner, and must be as long a period as is practicable.
- 34. A special Meeting requested by a written requisition to the General Counsel under standing order 32 must be held within 10 working days of receipt of the requisition by the General Counsel.
- 35. Where practicable the General Counsel must notify all Members of the time and place of any Meeting and provide the agenda and any papers for the Meeting to all Members.

Agenda

- 36. With the agreement of the Commissioner, in consultation with the Chair, any Member may place items on the agenda of an ordinary Meeting by submitting written notice of such items to the General Counsel at least 10 working days before the Meeting.
- 37. With the agreement of the Commissioner, in consultation with the Chair, any Member may place items on the agenda of a special Meeting by submitting written notice of such items to the General Counsel as soon as practicable after notification of the Meeting. However the Commissioner's agreement is not required for items to be placed on the agenda of a special Meeting called under standing order 32 provided they were specified in the requisition to the General Counsel.
- 38. An item of business may not be considered at a Meeting unless either:
 - (a) a copy of the agenda including the item (or a copy of the item) is open to inspection by members of the public in accordance with standing order 43 below; or
 - (b) by reason of special circumstances, which shall be specified in the minutes, the Chair is of the opinion that the item should be considered at the Meeting as a matter of urgency.

39. Agenda items which are not considered at a Meeting will be carried forward for consideration at the next ordinary Meeting, unless considered at a special Meeting.

Distribution of papers

40. Agendas and papers may be distributed by electronic means where appropriate.
41. The agenda and papers for a Meeting, including those provided by electronic means, will be deemed to have been received on the day after they were sent.
42. Provided that the agenda and/or papers for a Meeting have been dispatched in accordance with Standing Orders, or by an alternative method specified by the General Counsel, their non-receipt by any Member shall not invalidate the business transacted at that Meeting.

Availability of agendas and papers

43. Copies of the agenda or any report for a Meeting (subject to standing order 49) shall be open to inspection by members of the public at TfL's Head Office in accordance with standing order 44.
44. Any document which is required by standing order 43 to be open to inspection shall be so open at least five clear working days before the Meeting, except that:
- (a) where the Meeting is convened at shorter notice, the copies of the agenda and reports shall be open to inspection from the time the Meeting is convened; and
 - (b) where an item is added to an agenda, copies of which are open to inspection by the public, copies of the item (or of the revised agenda) and copies of any report for the Meeting relating to the item, shall be open to inspection from the time the item is added to the agenda,
- however, copies of any agenda, item or report shall not be open for inspection by the public until copies are available to Members.
45. If copies of the whole or part of a report for a Meeting are open to inspection by members of the public:
- (a) those copies shall each include a copy of a list, compiled by the General Counsel, of the background papers for the report or the part of the report; and
 - (b) at least one copy of each of the documents included in that list shall also be open to inspection at TfL's Head Office.

46. Background papers for a report are those documents relating to the subject matter which:
 - (a) disclose any facts or matters on which, in the opinion of the General Counsel, the report or an important part of the report is based; and
 - (b) has, in the opinion of General Counsel, been relied on to a material extent in preparing the report,but does not include any published works.
47. Where a copy of any background paper for a report is required to be open for inspection by members of the public, the copy shall be taken to be so open if arrangements exist for its production to members of the public as soon as is reasonably practicable after the making of a request to inspect the copy.
48. Nothing in standing order 47:
 - (a) requires any document which discloses Exempt Information to be included in the list referred to in standing order 45; or
 - (b) requires or authorises the inclusion in the list of any document which, if open to inspection by the public, would disclose Confidential Information.
49. The General Counsel shall exclude from the copies of reports provided under standing order 45, the whole or part of any report which contains Confidential Information and may exclude from the copies of reports provided under standing order 45, the whole or part of any report which, in the opinion of the General Counsel, contains Exempt Information. Any such information shall:
 - (a) be marked 'Not for publication'; and
 - (b) shall explain with reference to the definition of Confidential or Exempt Information why the information is not available to the public.
50. Where a Meeting is open to the public, during the proceedings or any part of them there shall be made available for the use of the members of the public present at the Meeting a reasonable number of copies of the agenda and subject to standing order 49, of the reports for the Meeting.
51. Where the Meeting is open to the public, during the proceedings or any part of them there shall, on request and on payment of postage or other necessary charge for the transmission, be supplied for the benefit of any newspaper:

- (a) a copy of the agenda for a Meeting and subject to standing order 49, a copy of each of the reports for the Meeting;
- (b) such further statements or particulars, if any, as are necessary to indicate the nature of the items included in the agenda; and
- (c) if the General Counsel thinks fit in the case of any item, copies of any other documents supplied to Members in connection with the item.

Voting

52. At any Meeting, decisions may be taken by:
- (a) common consent of the voting Members;
 - (b) the agreement of more than half of the voting Members present, determined either by oral expression or a show of hands; or
 - (c) a second or casting vote of the Chair if a vote is tied.
53. The names and votes of Members voting shall not normally be recorded in the minutes, however:
- (a) any Member may require that his or her own vote be recorded; and
 - (b) if requested before a vote is taken, any four Members may require the recording of the names of Members who voted (and how they voted) and the names of Members who abstained.

Minutes and action lists

54. Minutes shall be kept of all Meetings and shall include the names of the Members present.
55. Draft minutes will be agreed with the Commissioner, except for draft minutes of Committees and Panel Meetings which will be agreed with the relevant Chair. Action lists will be circulated by the General Counsel to Chief Officers and other relevant Officers within 10 working days of a Meeting or as soon as reasonably practicable thereafter.
56. The draft minutes of Meetings will be circulated with the agenda for the next ordinary Meeting.
57. Minutes of all Meetings shall be signed by either:
- (a) the Chair of the Meeting to which the minutes relate; or
 - (b) the Chair of any subsequent Meeting at which the minutes are approved as a correct record.
58. As soon as is reasonably practicable after a Meeting, the following documents shall be open to inspection by members of the public at TfL's

Head Office until the expiration of the period of six years beginning with the date of the Meeting:

- (a) the minutes, or a copy of the minutes, of the Meeting, excluding any minutes recording parts of the Meeting which were not open to the public to protect disclosure of Exempt Information;
 - (b) where applicable, a summary under standing order 59;
 - (c) a copy of the agenda for the Meeting; and
 - (d) a copy of any report for the Meeting relating to any item discussed while the Meeting was open to the public.
59. Where, in consequence of the exclusion of parts of the minutes which disclose Exempt Information, the document open to inspection under standing order 58(a) above does not provide members of the public with a reasonably fair and coherent record of the whole or part of the proceedings, the General Counsel shall make a written summary of the proceedings or the part as the case may be, which provides such a record without disclosing the Exempt Information.
60. Subject to standing order 47, background papers are to be open for inspection for a period of four years beginning with the date of the Meeting.

Members' Interests

61. The General Counsel is appointed as the proper officer under paragraph 13(10) of Schedule 10 to the GLA Act and is required to maintain a register of all Interests disclosed by Members. The register will be made available to the public.
62. If a Member has any Interest (including any Interest recorded on the above mentioned register) in any matter that is brought up for consideration at a Meeting, the Member shall disclose the nature of the Interest to the Meeting.
63. No Member will be excluded from any Meeting where a matter in relation to TfL PF, including TfL Trustee Company Limited, is being considered, solely on the grounds of a conflict of Interest based on either:
- (a) TfL's role as principal employer of TfL PF; or
 - (b) that Member's membership of the TfL PF.
64. Disclosure of an Interest by a Member will be recorded in the minutes and should be made either:
- (a) at the Meeting; or
 - (b) prior to the Meeting by written notice to the General Counsel.

65. Following disclosure of an Interest by a Member:
- (a) that Member will not take any part in any deliberation or decision of the Board in relation to any matter to which the Interest relates; and
 - (b) that Member will be excluded from that part of any Meeting at which that matter is under consideration, except where that part of the Meeting is open to the public.
66. Standing order 65 is subject to the Mayor's power under paragraph 13(3) of Schedule 10 to the GLA Act to allow any or all of the affected Members to participate if the number of Members that would be affected is so great a proportion of the whole as to impede the transaction of business.
67. When the Mayor exercises the power referred to in standing order 66, the circumstances in which the Mayor has done so will be recorded in the minutes of the Meeting.

Reporting by Officers

68. The following persons will be entitled to report directly to, or raise any matter within their professional jurisdiction, with the Board and/or the Chair:
- (a) Commissioner;
 - (b) Chief Finance Officer;
 - (c) General Counsel;
 - (d) Director of Risk and Assurance; and
 - (e) external auditors.
69. The Commissioner will submit a report to each ordinary Meeting.

Access to advice and documents by Members, Chief Officers and Committees

70. With the agreement of the Commissioner, legal or other appropriate external advice of a non-personal nature may be obtained by the following persons or Committees:
- (a) Members;
 - (b) a Committee; and
 - (c) Chief Officers.
71. Where any advice referred to in standing order 70 concerns the Commissioner, the agreement of the General Counsel must be obtained.
72. The advice referred to in standing order 70 must not be that which could be reasonably obtained from within TfL and must relate to a matter

reasonably required for the discharge of relevant duties in respect of the Board and/or the Committees, Panels or other bodies.

73. Where any person or Committee seeks advice under standing order 70 they will give written notice, as soon as practicable, to the General Counsel stating:
- (a) that advice has been sought;
 - (b) the subject of that advice;
 - (c) from whom the advice has been sought; and
 - (d) the likely cost of such advice.

Committees, Panels and other bodies

74. In addition to Subsidiary Entities, the Board may establish Committees, Sub-Committees, Panels and other bodies permitted by Schedule 10 to the GLA Act.
75. The Board has established the following Committees of the Board on a standing basis:
- (a) Audit and Assurance Committee;
 - (b) Finance Committee
 - (c) Land and Property Committee;
 - (d) Programmes and Investment Committee; and
 - (e) Remuneration Committee.
76. The Board has established the following Panels on a standing basis to advise and support the work of the Board and its Committees:
- (a) Customer Services and Operational Performance Panel; and
 - (b) Safety, Sustainability and Human Resources Panel.
77. The Panels referred to in standing order 76 are advisory and are not Committees of the Board or Sub-Committees of any Committee of the Board.
78. The terms of reference, executive or advisory status, status for the purposes of Part 5A of the Local Government Act 1972 procedure, membership, Chair, Quorum, frequency of meetings, reporting arrangements, and secretarial arrangements of the Committees, Panels and other bodies are set out in the TfL Committees and Panels Terms of Reference document.
79. Committees may establish further Sub-Committees, Panels or other bodies in a form permitted by Schedule 10 to the GLA Act subject to the approval of, and to any conditions imposed by, the Board.

80. The Board or a Committee shall determine the following in respect of any Committee, Panel or other body it establishes:
 - (a) terms of reference;
 - (b) whether it has an executive or advisory status;
 - (c) its status for the purposes of Part 5A of the Local Government Act 1972;
 - (d) membership;
 - (e) the Chair;
 - (f) Quorum;
 - (g) frequency of meetings;
 - (h) procedural or reporting arrangements; and
 - (i) secretarial arrangements.
81. Where a Committee includes persons who are not Members, those persons may not vote.
82. The provisions of Standing Orders will apply to Committees except that references to:
 - (a) the Board shall refer to the Committee;
 - (b) Meetings shall refer to meetings of the Committee;
 - (c) the Chair and Deputy Chair shall refer to the Chair and Vice Chair of the Committee;
 - (d) Members shall refer to members of the Committee; and
 - (e) the Secretary shall refer to the Secretary of the Committee.
83. The provisions of Standing Orders may apply to Panels (to the extent appropriate) as determined by the General Counsel from time to time.
84. The Chair of any Committee (or the Vice Chair in the Chair's absence) may appoint, with the agreement of the Chair of TfL, an additional member or members to that Committee. Any such appointments will be reported to the Board at the next ordinary Meeting.
85. All Members may attend any Committee or Panel Meeting but they may only vote at the Meeting if they are members of the relevant Committee.
86. Any Member may submit a request to the General Counsel to receive the agenda, papers and/or minutes relating to Meetings of any Committees, Sub-Committees and Panels of which he or she is not a Member. The General Counsel shall provide the requested documents to that Member when they are provided to Members of the relevant Committee, Sub-Committee or Panel.

Members' rights to inspect documents

87. Subject to standing order 89, and solely for the purposes of exercising his or her functions as a Member, a Member may inspect any document or class of documents in the possession of any part of the TfL Group.
88. A Member wishing to inspect a document or class of documents under standing order 87 must apply to the Commissioner or the General Counsel specifying the reason(s) for wishing to inspect a particular document or class of documents.
89. Standing order 87 shall not extend to documents which are held by TfL in its capacity as administrator of TfLPF.
90. The Commissioner or the General Counsel, or their nominated representative, may decline to allow inspection of any document or class of documents which:
 - (a) is not considered to be reasonably required for the exercise of the Member's functions as a Member;
 - (b) is, or would be, protected by privilege in the event of legal proceedings, if it is reasonably considered necessary to protect the interests of the TfL Group; or
 - (c) relates to a matter in which the Member has an Interest.
91. If determined appropriate, the inspection and/or copying of a document or class of documents by a Member may be subject to the Member first giving an undertaking, in a form reasonably required by the General Counsel or the Commissioner, as to confidentiality and subject to any other conditions and/or requirements as the General Counsel or the Commissioner may require.
92. A Member must not knowingly apply to inspect, or request a copy of, any document or class of documents relating to a matter in which the Member has any Interest, including any Interest not yet declared.
93. Any document which is in the possession or under the control of TfL and contains material relating to any business to be transacted at a Meeting of TfL or a Committee or Sub-Committee or Panel shall, subject to standing order 94, be open for inspection by any Member.
94. Standing order 93 does not require a document to be open to inspection if the information contained within the document falls within the exemptions referred to in Part 5A of the Local Government Act 1972.

Sealing and execution of documents

95. The application of the TfL seal will be authenticated by the signature of any one of the following persons:

- (a) the Commissioner;
 - (b) a Chief Officer;
 - (c) any other Officer or other person specifically or generally authorised by the Board; or
 - (d) any other Officer with the written consent of the General Counsel.
96. Any of the following Officers are authorised, subject to the constraints of Standing Orders, to sign contracts or other documents on behalf of any part of the TfL Group:
- (a) the Commissioner;
 - (b) a Chief Officer; or
 - (c) any other Officer with the written consent of the Commissioner or a Chief Officer.

Part 2: Scheme of Delegation

Matter reserved to the Board for determination

97. The matters within standing orders 98 to 105 are reserved to the Board for determination.

Strategic guidance

98. Provision of strategic guidance to the Commissioner to facilitate:

- (a) the implementation of the Mayor's Transport Strategy; and
- (b) the provision of safe, efficient, integrated and economic transport facilities and services to, from and within Greater London.

Approvals

99. Approval of:

- (a) TfL's Business Plan;
- (b) the TfL Group Budget;
- (c) the Annual Statement of Accounts of the TfL Group;
- (d) the Annual Report for the TfL Group;
- (e) unbudgeted Transactions valued over £25m save for:
 - (i) the receipt of income resulting from Planning Obligations; and
 - (ii) Transactions that are within the remit of the Finance Committee, or the Land and Property Committee, or the Programmes and Investment Committee;
- (f) proposals to amend Standing Orders except for those amendments made in accordance with standing order 5;
- (g) proposals for schemes under the GLA Act for the transfer of any of the following (prior to submission to the Mayor):
 - (i) property, rights and liabilities between TfL and its Subsidiary Entities or between its Subsidiary Entities; or
 - (ii) key system assets;
- (h) proposals to promote or oppose local Bills in Parliament (and approval to obtain the GLA's written consent as required by the GLA Act);
- (i) proposals to make an application for or an objection to an order under the Transport and Works Act 1992 (and approval to obtain the Mayor's written consent as required by the GLA Act);
- (j) the principle of making a compulsory purchase order (but not the actual making, withdrawing or amending of any specific order, which is delegated to Officers pursuant to standing order 136);

- (k) proposals to enter into arrangements with one or more local authorities for the joint discharge of functions, including approval of the appointment and terms of reference of any joint committee; and
- (l) annually, Prudential Indicators (including the authorised limit for borrowing).

Appointments and Removals

100. Appointment and removal of:

- (a) Members of Committees and Panels listed in standing order 75 and 76, except where the procedure set out in standing orders 84 and 112 is used;
- (b) advisers to Committees, Panels and other bodies, upon the recommendation of the Commissioner; and
- (c) the following Officers:
 - (i) the Commissioner;
 - (ii) the Chief Finance Officer;
 - (iii) the General Counsel; and
 - (iv) the Director of Risk and Assurance.

Delegations

101. Delegation of any of TfL's functions to:

- (a) Committees and other bodies;
- (b) Members;
- (c) Officers; or
- (d) Subsidiary Entities.

Subsidiary Entities and Associate or Joint Venture Entities

102. Formation, dissolution or disposal by TfL or any Subsidiary Entity of any:

- (a) Subsidiary Entity; or
- (b) Associate or Joint Venture Entity

save in relation to matters that are within the remit of the Finance Committee, or the Land and Property Committee, or the Programmes and Investment Committee.

103. Acquisition or disposal by TfL or any Subsidiary Entity of more than 25 per cent of the ownership of any corporate entity save in relation to the matters that are within the remit of the Finance Committee, or the Land and Property Committee, or the Programmes and Investment Committee.

Consideration of reports

104. Consideration of:

- (a) a statutory report, relevant to TfL, from the GLA Monitoring Officer;
- (b) a statutory report from the Chief Finance Officer;
- (c) public interest reports, advisory notices and prohibition notices issued by TfL's external auditors; and
- (d) reports of the Ombudsman where there has been a finding that injustice has been caused in consequence of maladministration.

Other

105. Disposal or transfer of the whole or any part of TfL's or a Subsidiary Entity's Undertakings when the number of staff involved exceeds 100.

Delegation

Introduction

106. Subject to any express provision contained in the GLA Act or a subsequent Act, the Board may arrange for any of TfL's functions to be discharged on its behalf by:

- (a) any Committee or Sub-Committee;
- (b) any wholly owned Subsidiary Entity;
- (c) any Member or Officer; or
- (d) any body of Members or Officers, or Members and Officers.

107. The exercise of any delegated authority conferred by the Board under Standing Orders is subject to:

- (a) any restrictions imposed by or in accordance with law;
- (b) the Scheme of Authorities in Part 3 and all other provisions of Standing Orders;
- (c) any conditions imposed by the Board, the Commissioner, or any Committee with authority to impose conditions; and
- (d) all other applicable policies and procedures.

108. A delegation granted by the Board otherwise than by Standing Orders (for example, a specific express ad hoc delegation made for a particular purpose) takes precedence over a general delegation granted by Standing Orders and a particular delegation granted by Standing Orders to an individual specified Officer or in respect of a particular matter (namely, those granted by standing orders 129-137) takes precedence over any other general delegation granted by Standing Orders.

109. Only Committees may sub-delegate functions pursuant to Standing Orders. An Officer exercising delegated functions under Standing Orders may not sub-delegate those functions to another Officer or body of Officers.
110. Arrangements made pursuant to Standing Orders by the Board or a Committee to delegate the discharge of any of TfL's functions shall not prevent that body from exercising those functions itself.
111. TfL shall maintain a list:
- (a) specifying those powers of TfL which, for the time being, are exercisable from time to time by Officers in pursuance of arrangements made under the Local Government Act 1972 or any other enactment for their discharge by those Officers; and
 - (b) stating the title of the Officer by whom each of those powers so specified is for the time being so exercisable but not an Officer by whom such a power is exercisable at least partly as a result of sub-delegation by any Officer.

Chair's action

112. In situations of urgency, the Board delegates to each of the Chair and the Chairs of any Committee or Panel the exercise of any functions of TfL on its behalf, including the appointment of Members to Committees and Panels. Members will be informed as soon as practicable following any use of Chair's action using the authority of this standing order and it must be reported to the next ordinary Meeting.

Committees and Panels

113. The Board delegates to each Committee the discharge of any functions of TfL that fall within its terms of reference, except for those functions reserved to the Board.
114. Where the Board has delegated a function to a Committee, whether specifically or generally, the Committee may delegate the discharge of that function to:
- (a) any Sub-Committee of that Committee;
 - (b) any Member or Officer; or
 - (c) any body of Members or Officers, or Members and Officers.
115. Where the Board or a Committee delegates the discharge of a function to a Sub-Committee under any express provision contained in the GLA Act, the Sub-Committee may delegate the discharge of that function to:
- (a) any Member or Officer; or

- (b) any body of Members or Officers, or Members and Officers.

Subsidiary Entities

General

- 116. The Board delegates to each Subsidiary Entity the discharge of any functions of TfL relevant to that Subsidiary Entity's role and responsibilities within the TfL Group, except for those functions reserved to the Board.
- 117. The Board authorises Subsidiary Entities to appoint and remove the directors of their own subsidiaries and those directors of Associate or Joint Venture Entities within their responsibility.

LBSL Directors

- 118. The Board delegates to the directors of LBSL (each of whom may act alone) the discharge of TfL's functions under:
 - (a) sections 181 and 183 of the GLA Act, relating to the determination of the London Bus Network and addition or variation of a network service; and
 - (b) section 104 of the London Passenger Transport Act 1934, conferred on TfL by section 301 of the GLA Act, relating to the erection of bus shelters on the public highway.

LBSL, LUL, DLR and RfL Directors

- 119. The Board delegates to the respective directors of LBSL, LUL, DLR and RfL (each of whom may act alone) the discharge of TfL's functions under paragraph 1(1) of Schedule 17 to the GLA Act, relating to the authorisation of persons to collect penalty fares.

Commissioner, Chief Officers and other Officers

General delegation to Commissioner

- 120. The Board delegates to the Commissioner the discharge of any functions of TfL, except for functions:
 - (a) reserved to the Board; or
 - (b) specifically delegated by the Board to another body or Postholder otherwise than by Standing Orders, provided that, where functions of TfL are delegated to Officers under Standing Orders, the Commissioner is not prevented from exercising those functions himself.

121. The Board delegates to the Commissioner the appointment and removal of:

- (a) directors of Subsidiary Entities (but not directors of Subsidiaries of those entities (see standing order 117)) where the approval of TfL is required; and
- (b) directors of Associate or Joint Venture Entities where the approval of TfL is required (but not directors of an Associate or Joint Venture Entity within the responsibility of a Subsidiary Entity (see standing order 117)).

122. The Board appoints the Commissioner (or, in his absence, General Counsel) to be the shareholder representative of Transport for London in respect of its subsidiaries Transport Trading Limited and London Transport Insurance (Guernsey) Limited.

General delegation to Chief Officers and specified Officers

123. The Board delegates to each Chief Officer, and the Director of Communications and Corporate Affairs the discharge of any functions of TfL in respect of matters for which that Chief Officer or Officer has responsibility:

- (a) across the TfL Group;
- (b) in a managerial position; and/or
- (c) in a professional position;

except for functions reserved to the Board or delegated to other bodies or Postholders by Standing Orders or otherwise. Any Chief Officer, or the Commissioner in discharging any function delegated under Standing Order 120, may choose to discharge any such function collectively with other Chief Officers in accordance with any guidance that the Commissioner may issue in accordance with Standing Order 107(c).

124. In the absence of anyone occupying the post of any specific Chief Officer or any other officer to whom authorities are specifically delegated under Standing Orders, either on a permanent or temporary basis, the Commissioner may designate one or more other Officers to discharge any functions delegated to that Chief Officer or specified Officer under Standing Orders. However, this does not limit the effect of standing order 3(c) which automatically allows a person acting in the post to discharge the functions delegated to that Chief Officer.

125. The authority delegated to Chief Officers or specified Officers pursuant to standing orders 123 and 124 is concurrent with the authority delegated to the Commissioner and may not be exercised by the

relevant Chief Officer or specified Officer in respect of any matter where the Commissioner has either exercised, or given a written indication of his intention to exercise, his delegated powers.

General delegation to other Officers

126. Subject to satisfying the conditions in standing order 127, the Board delegates to each Officer (other than the Commissioner, a Chief Officer or an Officer specified in standing order 123) the discharge of any functions of TfL in respect of matters for which that Officer has responsibility:

- (a) across the TfL Group;
- (b) in a managerial position; and/or
- (c) in a professional position;

except for functions reserved to the Board or delegated to other bodies or Postholders by Standing Orders or otherwise.

127. An Officer may only exercise delegated authority pursuant to standing order 126 in relation to a matter if:

- (a) the Chief Officer to whom the Officer reports has also been delegated authority in relation to that matter; and
- (b) the Officer has obtained the written consent of that Chief Officer or the Commissioner to the exercise of that delegated authority.

128. The authority delegated to Officers pursuant to standing order 126 is concurrent with the authority delegated to the Commissioner and may not be exercised by an Officer in respect of any matter where the Commissioner has either exercised, or given a written indication of his intention to exercise, his delegated powers.

General delegation to Chief Operating Officer

129. The Board delegates to the Chief Operating Officer and, with that Chief Officer's written consent, to each Officer responsible to that Chief Officer, the discharge of any of the following functions, except for functions reserved to the Board or delegated to other bodies or Postholders by Standing Orders or otherwise:

- (a) any function of TfL as:
 - (i) a highway authority;
 - (ii) a local authority (in respect of matters for which the Chief Operating Officer has managerial and/or professional responsibility);
 - (iii) a traffic authority;

- (iv) a street authority; and
- (v) a charging authority under the GLA Act;
- (b) any function of TfL relevant to the use of the highway, including but not limited to functions under:
 - (i) the Highways Act 1980;
 - (ii) the Road Traffic Regulation Act 1984;
 - (iii) the New Roads and Street Works Act 1991;
 - (iv) section 275 of the GLA Act; and
 - (v) the Traffic Management Act 2004;
- (c) any function of TfL under sections 185 to 190 of the GLA Act, relating to the grant of London Service Permits;
- (d) any function of TfL relating to:
 - (i) hackney carriages (pursuant to the GLA Act, section 253 and Schedule 20); and
 - (ii) private hire vehicles (pursuant to the GLA Act, section 254 and Schedule 21);
- (e) the making, amendment and revocation of the following legislation:
 - (i) London cab orders made pursuant to section 9(1) of the Transport for London Act 2008 (“TfL Act 2008”) designating directional taxi ranks;
 - (ii) London cab orders made pursuant to section 10(1) of the TfL Act 2008 designating rest ranks;
 - (iii) London cab orders made pursuant to section 10(2) of the TfL Act 2008 prescribing the maximum lengths of time during which a hackney carriage may stand at a rest rank;
 - (iv) London taxi sharing scheme orders made pursuant to section 10(4) of the Transport Act 1985 other than those which prescribe fees, but including the making of such an order that prescribes a fee of up to and including 20 pounds for a fixed-fare taxi-sharing scheme, excluding such schemes operating on a continuous basis;
 - (v) London cab orders which modify or suspend the operation of section 2 of the London Cab and Stage Carriage Act 1907 (privileged cab system) in respect of a station;
 - (vi) London cab orders which fix the stands of hackney carriages and the persons who may attend at such stands pursuant to section 9(2) of the Metropolitan Public Carriage Act 1869; and

- (vii) orders and regulations made pursuant to section 4 of London Hackney Carriages Act 1850 appointing standings for hackney carriages, the boundaries of such standings, number of carriages to be allowed in the standing, the times at which the standing may be used, enforcement of order at standings and removal of any person from a standing.

General delegation to Chief Finance Officer

130. The Board delegates to the Chief Finance Officer and, in his or her absence, the Acting Chief Finance Officer, the discharge of any functions of TfL in respect of traffic related agreements (revenue agreements) with train operating companies, bus operating companies and others (including the authority to enter into, amend, revoke and make payments pursuant to, such agreements) except for functions reserved to the Board or delegated to other bodies or Postholders by Standing Orders or otherwise.

General delegation to Chief Officers for electricity

131. The Board delegates to the Chief Finance Officer and the Chief Operating Officer the authority to:
- (a) enter into contracts for the procurement of electricity for London Underground's Bulk Supply Points and for Bulk Supply Points elsewhere on TfL's network; and
 - (b) approve, on the advice of the relevant Director of Procurement and Commercial, the price to be paid for blocks of electricity.

General delegation relating to Mayor's Opinion

132. The delegations in standing orders 133 to 135 apply for as long as the function of giving the Mayor's Opinion is delegated to TfL by the Mayor.
133. In respect of any disposal of land, each of the following Officers is authorised to give the Mayor's Opinion:
- (a) Commissioner;
 - (b) Chief Finance Officer; and
 - (c) Director of Business Services.
134. In respect of temporary disposals of land for up to 15 years where TfL reserves the right to take back the land when it is required by TfL, in addition to the Officers listed in standing order 133, each of the following Officers is authorised to give the Mayor's Opinion:
- (a) any Chief Officer (in relation to land for which that Chief Officer has managerial and/or professional responsibility); and

(b) General Counsel.

135. In respect of disposals of property other than land, Chief Officers and other Officers are authorised to give the Mayor's Opinion for Transactions in respect of which they may grant Land Authority in accordance with the Scheme of Authorities in Part 3.

General delegation relating to compulsory purchase orders

136. Subject to obtaining in principle approval for the making of a compulsory purchase order in accordance with standing order 99(j), the Board delegates to each of the following Officers the making, withdrawing and/or amending of a compulsory purchase order, including settling the detailed boundaries of the land to be acquired, and doing all things necessary to implement the compulsory purchase order:

- (a) the Commissioner;
- (b) any Chief Officer (where the Project, Commercial Land Transaction or Operational Land Transaction to which the compulsory purchase order relates is within that Chief Officer's managerial and/or professional responsibility); and
- (c) the Director and Chief Executive, Places for London Limited, where the compulsory purchase order in question related to a Commercial Development Land Transaction.

General delegation relating to taxi and private hire vehicle legislation

137. The Board delegates the making, amendment and revocation of the following legislation to the Commissioner or, in his absence, the Chief Operating Officer:

- (a) London cab orders, except those delegated to the Chief Operating Officer under standing order 129; and
- (b) Regulations under the Private Hire Vehicles (London) Act 1998 provided that when any proposals require approval by the Finance Committee under its terms of reference such approval has been granted.

Part 3: Scheme of Authorities

Introduction

138. Notwithstanding the delegations contained in Standing Orders, before any Transaction, Project or any part of a Programme is entered into on behalf of any member of the TfL Group the necessary Authorities must have been obtained in accordance with this Scheme of Authorities.
139. However, this Scheme of Authorities does not apply to the following Subsidiary Entities (which have their own schemes for authorising activities undertaken on their behalf):
- (a) London Transport Museum Limited;
 - (b) London Transport Museum (Trading) Limited;
 - (c) London Transport Insurance (Guernsey) Limited; and
 - (d) TfL Trustee Company Limited (as trustee for TfLPPF).

Types of Authorities

140. All Transactions, Programmes and Projects require Financial Authority.
141. The other Authorities that may be required before proceeding with a Transaction, Programme or Project are:
- (a) Programme and Project Authority;
 - (b) Land Authority; and
 - (c) Procurement Authority.
142. Authorities are required in relation to income, as well as expenditure, as described under the specified Authority headings below.

Financial Authority

143. Financial Authority is the authority to:
- (a) spend money;
 - (b) receive income;
 - (c) incur a financial liability (that is, a legal obligation to pay money to another party if specific, predetermined circumstances arise); or
 - (d) redistribute funds to relevant third parties in respect of their respective allocated budgets.
144. Financial Authority will be automatically granted to the extent that an activity or Programme or Project is 'budgeted' (see standing order 146 below).

145. To the extent that an activity, Programme or Project is 'unbudgeted' (see standing order 146 below), Financial Authority must be obtained as follows:

- (a) in respect of a Programme, Project or Transaction, Financial Authority must be obtained from a body or Postholder authorised to grant Financial Authority for unbudgeted Transactions (see Table of Authorities below);
- (b) in respect of a Commercial Land Transaction or Operational Land Transaction, Financial Authority must be obtained from a body or Postholder authorised to grant Financial Authority for unbudgeted Commercial Land Transactions or Operational Land Transactions (see Table of Authorities below);
- (c) in relation to any other activity, written approval must be obtained from those authorised to enter into unbudgeted expenditure, or those authorised to approve virement of expenditure (that is, the transfer of resources from one budget area to another), in accordance with applicable financial procedures as issued by the Chief Finance Officer; and
- (d) in relation to the receipt of income resulting from Planning Obligations that is unbudgeted, Financial Authority must be obtained from a Postholder authorised to grant Financial Authority for unbudgeted Transactions (see Table of Authorities below) save that the Commissioner's authority to grant such Financial Authority is unlimited.

146. An activity is 'budgeted' if funding (income or expenditure) for that specific activity is included in the TfL Group Budget for the current financial year and future years of the TfL Business Plan, as approved by the Board and amended by any approved variations. A Programme or Project is 'budgeted' when there is full funding in the TfL Group Budget for the current financial year and future years of the TfL Business Plan, as approved by the Board and amended by any approved variations. Where the cost of an activity, Programme or Project is greater than the budgeted sum, the size of the 'unbudgeted' element determines the level of Financial Authority required. Details of approved TfL Group Budgets and TfL Business Plans are as advised by Group Finance.

147. The exercise of any Financial Authority granted is subject to:

- (a) securing any specific approval required;
- (b) compliance with any restrictions included in the TfL Business Plan; and

- (c) compliance with Standing Orders, financial standards and instructions issued by the Chief Finance Officer and all other relevant TfL policies and procedures.

Programme and Project Authority

148. Programme and Project Authority is the authority to do the following in respect of a Programme, or any part of it, or any other Project:
- (a) spend money;
 - (b) receive income;
 - (c) incur a financial liability (that is, a legal obligation to pay money to another party if specific, predetermined circumstances arise); or
 - (d) redistribute funds to relevant third parties in respect of their respective allocated budgets.
149. Programme and Project Authority must be granted by a body or Postholder authorised to grant Programme and Project Authority (see the Table of Authorities below).
150. Expenditure may be incurred in respect of any matter for which Programme and Project Authority will be required before obtaining such Authority to the extent necessary to determine the feasibility of that matter, which will not extend beyond developing a concept design for that matter.
151. Where a Programme entails any Commercial Land Transaction, Operational Land Transaction or the disposal of assets, Land Authority is incorporated into any Programme and Project Authority given, without the need for separate Land Authority, provided the value of the Commercial Land Transaction, Operational Land Transaction or disposal concerned has been included in the Programme and Project Authority given.
152. A report will be submitted to each ordinary meeting of the Programmes and Investment Committee summarising any Programme and Project Authority given by the Commissioner or the Chief Finance Officer since the last Committee Meeting.

Land Authority

153. Land Authority is the authority to engage in a Commercial Land Transaction, Operational Land Transaction or to dispose of any assets.
154. Land Authority must be granted by a body or Postholder authorised to grant Land Authority (see the Table of Authorities and standing orders 165 and 166 below).

155. In the case of a Commercial Land Transaction, Operational Land Transaction or disposal of assets for which authority is not provided within a Programme and Project Authority but for which Procurement Authority is required, that Procurement Authority required will automatically be granted when Land Authority is granted.
156. In addition to obtaining Land Authority (whether or not incorporated within a Programme and Project Authority) and all other Authorities required, consultation must take place with:
- (a) the Director and Chief Executive, Places for London Limited for Commercial Land Transactions; or
 - (b) the Director of Business Services for Operational Land Transactions,
- in each case in respect of all terms and the method of acquisition or disposal before the Commercial Land Transaction or Operational Land Transaction is completed.
157. If the Mayor's Opinion and/or the Secretary of State's consent is required, the Commercial Land Transaction or Operational Land Transaction cannot be completed unless and until the opinion and/or consent has been obtained.
158. Expenditure may be incurred in respect of any matter for which Land Authority will be required before obtaining such Authority to the extent necessary to determine the feasibility of that matter.

Procurement Authority

159. Procurement Authority is the authority to make a binding or contractual commitment with a supplier for the purchase of goods, services, land or works or to receive income arising from TfL Group activities in the areas of goods, services land or works. Procurement Authority extends to any action required within any existing contracts or relationships (e.g. unsatisfactory performance deductions or giving notice and termination of contracts), except where actions relating to contract performance are in accordance with a pre-determined formula or process included in the contract.
160. No Procurement Authority is required to make a contractual commitment to give financial assistance to London local authorities under section 159 of the GLA Act.
161. Procurement Authority must be granted by a body or Postholder authorised to grant Procurement Authority (see the Table of Authorities below).

162. In respect of Commercial Land Transactions and Operational Land Transactions, Procurement Authority will automatically be granted when Land Authority is granted. Where a Commercial Land Transaction or Operational Land Transaction includes ancillary works or services for any member of the TfL Group, Procurement Authority will automatically be granted when Land Authority is granted. Where a Commercial Land Transaction or Operational Land Transaction is an ancillary part of a commitment which requires Procurement Authority, Land Authority will automatically be granted when Procurement Authority is granted.
163. Procurement Authority is exercised by release of an order or instruction from TfL's electronic contract systems or by entering into a contract. In emergency situations, Procurement Authority may be exercised orally (and subsequently properly recorded by the person giving that authority) but must be confirmed (by the release of an order or instruction from TfL's electronic contract systems or signature of a contract document) as soon as reasonably practicable.

Authorising Bodies and Postholders

Table of Authorities

164. The table below sets out the maximum monetary value in respect of which bodies or Postholders can grant Authorities, subject to the standing orders following the table (from standing order 165) which set out restrictions and other requirements relating to the grant of Authorities and subject to the exception in standing order 145(d).

Authorising body/postholder	Financial Authority for unbudgeted Transactions (except Commercial Land Transactions and Operational Land Transactions)	Financial Authority for unbudgeted value of Commercial Land Transactions and Operational Land Transactions	Programme and Project Authority (budgeted)	Land Authority (budgeted)	Procurement Authority (budgeted)
Board	Unlimited	Unlimited	Unlimited	Unlimited	Unlimited
Finance Committee	Unlimited in relation to Transactions and Commercial Development opportunities	Unlimited in relation to Transactions and Commercial Development opportunities		Unlimited in relation to Transactions and Commercial Development opportunities	Unlimited in relation to Transactions and Commercial Development opportunities

Authorising body/postholder	Financial Authority for unbudgeted Transactions (except Commercial Land Transactions and Operational Land Transactions)	Financial Authority for unbudgeted value of Commercial Land Transactions and Operational Land Transactions	Programme and Project Authority (budgeted)	Land Authority (budgeted)	Procurement Authority (budgeted)
Land and Property Committee	Unlimited in relation to Transactions and Commercial Development opportunities	Unlimited in relation to Transactions and Commercial Development opportunities		Unlimited in relation to Transactions and Commercial Development opportunities	Unlimited in relation to Transactions and Commercial Development opportunities
Programmes and Investment Committee	Unlimited in relation to Programmes and Projects	Unlimited in relation to Programmes and Projects	Unlimited in relation to Programmes and Projects	Unlimited in relation to Programmes and Projects	Unlimited in relation to Programmes and Projects
Commissioner (Acting Commissioner in his/her absence; Chief Finance Officer in absence of both)	£25,000,000 (save for Planning Obligations, which is unlimited)	£25,000,000	£50,000,000	£100,000,000	£100,000,000
Commissioner and with the Commissioner's consent, Finance Director, Operations					Transactions for the provision of bus services: £100,000,000
Chief Finance Officer (Acting Chief Finance Officer in his/her absence)	£10,000,000	£10,000,000	£25,000,000	£25,000,000	£25,000,000
All other Chief Officers (subject to the exceptions below)	£2,000,000	£2,000,000	£5,000,000	£5,000,000	£25,000,000
Chief Operating Officer					Transactions for the provision of bus services: £100,000,000
Chief Operating Officer					Transactions for power supplies: £100,000,000

Authorising body/postholder	Financial Authority for unbudgeted Transactions (except Commercial Land Transactions and Operational Land Transactions)	Financial Authority for unbudgeted value of Commercial Land Transactions and Operational Land Transactions	Programme and Project Authority (budgeted)	Land Authority (budgeted)	Procurement Authority (budgeted)
Director and Chief Executive, Places for London Limited (or payband 5 or equivalent with the written authority of the Director and Chief Executive, Places for London Limited)		£2,000,000		Income generating Commercial Land Transactions: £10,000,000 Otherwise, for Commercial Land Transactions: £2,000,000	
Director of Business Services (or payband 5 or equivalent with the written authority of the Director of Business Services)		£2,000,000		Land compensation claims (including compulsory purchase settlements): unlimited where the compensation is within the overall approved budget Income generating Operational Land Transactions: £10,000,000 Otherwise, for Operational Land Transactions: £2,000,000	
Following Officers: • Chief Procurement Officer; • Finance Director, Operations					Transactions for power supplies: £25,000,000

Authorising body/postholder	Financial Authority for unbudgeted Transactions (except Commercial Land Transactions and Operational Land Transactions)	Financial Authority for unbudgeted value of Commercial Land Transactions and Operational Land Transactions	Programme and Project Authority (budgeted)	Land Authority (budgeted)	Procurement Authority (budgeted)
Following London Underground Officers: <ul style="list-style-type: none"> • Head of Commercial LU Operations; and • Head of Commercial Infrastructure 					Transactions for power supplies: £5,000,000
Maximum for any Officer other than those specifically identified above. (See standing order 165)	£1,000,000	£500,000	£2,000,000	£1,000,000	£5,000,000

Requirements relating to the grant of authorities

Approving Officers

165. Authorities may be granted by Officers other than those specifically identified in the Table of Authorities above, provided that the Officer granting Authority has been given permission in writing to do so in accordance with guidance which will be issued by the Chief Finance Officer and subject to the maximum level set out in the Table of Authorities, except where a higher level of permission has been granted in accordance with standing order 166.

166. The Commissioner or the Chief Finance Officer may give written permission for an Officer to grant Authorities above that Officer's payband level subject to the maximum amount set out in the Table of Authorities against the Officer giving permission for the Authority concerned.

167. Officers giving approval must ensure that the correct level of authorisation is recorded in SAP for those Officers they have approved to grant Authorities.
168. In an emergency the following Officers may approve unbudgeted expenditure in excess of their respective levels of Financial Authority for urgent activities required to safeguard the operation, and/or protect the assets, of any part of the TfL Group and this will be reported to the next meeting of the Programmes and Investment Committee:
- (a) the Commissioner;
 - (b) in the absence of the Commissioner, the Acting Commissioner;
 - (c) in the absence of the Commissioner and the Acting Commissioner, the Chief Finance Officer; or
 - (d) in the absence of all the above persons, the Chief Officer with operational responsibility for the matter concerned.

Valuation

169. Requests for any type of Authority must not be broken down in such a manner that, as a result, a lower monetary value level of Authority is required, so as to avoid:
- (a) a requirement for seeking authority at a higher level; or
 - (b) compliance with UK procurement regulations.
170. In calculating the value of a Commercial Land Transaction or Operational Land Transaction for the purposes of determining the appropriate level of Land Authority:
- (a) the total aggregated potential financial commitment (both income and expenditure but excluding any statutory interest payable or receivable and excluding any returns estimated to be made after the Commercial Land Transaction or Operational Land Transaction has been entered into) for all components of the Commercial Land Transaction or Operational Land Transaction must be taken into account. This includes, for example, the value of any land to be disposed of or acquired, fees, taxes such as Stamp Duty Land Tax and irrecoverable VAT, the cost of any associated works, indemnities, guarantees, the amount of any investment together with any tolerances for risk and/or contingency as may be required under guidance that may be issued by the Chief Finance Officer;
 - (b) where any sums are unknown at the time that Land Authority is requested (for example the amount of any investment) Land Authority may be sought basing the valuation on information know

at that time (including any contingency). Further Land Authority may be required at a later date when the true amount is known (if higher) and the level of Land Authority required for the subsequent element should be determined by aggregation that subsequent element with the initial value of the Commercial Land Transaction or Operational Land Transaction; and

- (c) the value of any guarantee to be given by any member of the TfL Group as part of a Commercial Land Transaction or Operational Land Transaction shall not be taken into account where the primary obligation itself has been included in the value of the Commercial Land Transaction or Operational Land Transaction.

171. In calculating the cost of a Programme for the purposes of determining the appropriate level of Programme and Project Authority, the total gross amount estimated to be incurred on all components of the Programme must be used, including payments to external suppliers and to third parties and internal costs specifically charged to the Programme together with any tolerances for risk and/or contingency as may be required under guidance issued by the Chief Finance Officer. That guidance will also describe the parameters within which component parts of a Programme (such as budget, scope and timing of delivery) may be varied within an existing approval from the Programmes and Investment Committee.

172. In calculating the cost of a Project for determining the appropriate level of Programme and Project Authority, the total gross amount estimated to be incurred must be used, including payments to external suppliers and to third parties and internal costs specifically charged to the Project for all tasks (including unbudgeted maintenance obligations) procured solely to deliver the full Project scope. Where the Chief Finance Officer gives guidance on the amounts to be allocated for risk and contingency, they must be included.

173. In determining the appropriate level of Procurement Authority (including the value of any ancillary Commercial Land Transaction or Operational Land Transaction) the total value of the contract should be used, plus the value of identified risk items relevant to that contract. Subject to standing order 169, where several related contracts are to be entered into, whether or not they form part of a Programme, they should be valued on a contract by contract basis for Authority purposes.

174. Where a Transaction is of an indefinite duration the estimated value for the purposes of the Authorities is the total gross amount of the consideration that is expected to be paid in the first four years. In relation

to Commercial Land Transactions, specific valuation guidance should be sought from the Director and Chief Executive, Places for London Limited. In relation to Operational Land Transactions, specific valuation guidance should be sought from the Director of Business Services.

175. Where any Programme, Project, Commercial Land Transaction, Operational Land Transaction or other Transaction is anticipated to receive income as well as incur expenditure, an estimate of that income should be added to the estimated expenditure for Authority valuation purposes, not deducted from it.

Variations

176. Variations resulting in a change to the approved amount of any Authority must be approved in accordance with the table in standing order 164 and regard must be given as to the impact of any increase in value on:
- (a) any applicable procurement procedures;
 - (b) UK procurement regulations; and
 - (c) EU procurement directives.
177. Subject to standing order 178, the level of Authority required for a proposed Variation is based on the total of:
- (a) the original value of the Authority;
 - (b) the value of the current proposed Variation; and
 - (c) the aggregate value of all previous Variations (if any).
178. The body or Postholder approving a Variation can require that all or some specified subsequent Variations be authorised at a higher level of Authority.
179. The calculation for the purposes of standing order 177 for any specific Variation in respect of PPP or PFI activities shall not include:
- (a) the value of the original Authority to establish a PPP or PFI; or
 - (b) the aggregated total value of any previous Variations to that original PPP or PFI Authority.

Procurement activities

180. Except as set out in standing order 181, the following Transactions must only be entered into following a competition conducted through a tender process or by competitive quotation:
- (a) any activity either orally or in writing which commits, or may be taken to commit, the TfL Group to any contractual relationship, including the issue of letters of intent; or

- (b) the sale or other disposal of surplus assets.
181. The following Transactions may proceed other than through competitive tender, subject always to meeting the requirements of the EU procurement directives and UK procurement regulations:
- (a) Transactions of small monetary value as defined in local procurement procedures issued by the relevant Chief Officer, the relevant Director of Procurement and Commercial or relevant Head of Commercial;
 - (b) Commercial Land Transactions and Operational Land Transactions; and
 - (c) any other Transactions prescribed by procurement procedures (pan-TfL or local) issued by the relevant Chief Officer, the relevant Director of Procurement and Commercial or relevant Head of Commercial.
182. Notwithstanding any other authority given by this standing order, where it is proposed that a Transaction (other than a Commercial Land Transaction or Operational Land Transaction) over the value of £500,000 be entered into other than through a competitive process, the Chief Finance Officer must give approval before any agreement is made with or instructions given to a supplier. Such a Transaction may be subject to review by the Chief Finance Officer (or such person as he or she may nominate from time to time) if he or she so requires. Where the Chief Finance Officer has been directly involved in the transaction, the Commissioner must give approval and the Transaction may be reviewed by the Commissioner (or such person as the Commissioner may nominate from time to time).
183. All transactions must be expressed to be governed by English Law unless otherwise agreed by the General Counsel or the Director of Legal.

Appendix: Glossary

Associate or Joint Venture Entity means a corporate entity which is an associate or joint venture (as defined in financial reporting standards issued from time to time by the Accounting Standards Board) of TfL.

Authority means each of the following:

- (a) Financial Authority;
- (b) Programme and Project Authority;
- (c) Land Authority; and
- (d) Procurement Authority.

Board means the membership of TfL from time to time as constituted in accordance with paragraph 2 of Schedule 10 to the GLA Act.

Chair means the person designated as chair of TfL in accordance with paragraph 3 of Schedule 10 to the GLA Act.

Chief Finance Officer means the officer appointed to the role entitled managing Chief Finance Officer, save that such term means the Officer appointed under section 127 of the GLA Act in respect of the appointment and removal of the Chief Finance Officer under Standing Order 100(c)(ii) and the consideration by the Board of a statutory report under Standing Order 104(b).

Chief Officer means any of the Officers appointed as the Chief Capital Officer, the Chief Customer and Strategy Officer, the Chief Finance Officer, the Chief Operating Officer, the Chief Officer Pensions Review, the Chief People Officer, the Chief Safety, Health and Environment Officer and the General Counsel.

Commercial Development means the commercial utilisation of TfL's assets (including intellectual property) other than for fares revenue; examples include advertising on TfL's estate, asset sponsorship, property developments and disposals (whether by sale or rental) and the provision of consultancy services for a commercial return.

Commissioner means the person appointed by the Board to be the TfL Commissioner.

Committee means each committee of TfL listed in standing order 75.

Commercial Land Transaction means the following activities in respect of land and buildings held for commercial purposes:

- (a) the purchase, sale or exchange of freehold or leasehold land and buildings;
- (b) the purchase, grant, assignment, surrender, release or variation of leases, covenants, easements and licences;

- (c) any other acquisition or disposal of land and buildings, or interests in, or rights over, land and buildings;
- (d) the settlement of compensation claims relating to land and buildings or interests in, or rights over, land and buildings;
- (e) Commercial Development including entering into any joint venture arrangements in connection with property development, investing in such property developments, giving guarantees and indemnities and the carrying out of any other activity relating to property development (excluding advertising, contractual arrangements for which are treated as Transactions for approval purposes); and
- (f) any other activity relating to the acquisition, disposal, development, ownership or management of land and buildings held for commercial purposes, but does not include any of the above activities undertaken for or in connection with the following purposes:
 - (i) the protection of any part of any undertaking of any part of the TfL Group;
 - (ii) any of the matters listed in (b) insofar as they are entered into pursuant to or of a type contemplated by a PFI Contract.

Confidential information has the meaning given to it by Part 5A of the Local Government Act 1972.

Delegated Officer means any of: the Commissioner; the Chief Finance Officer; the General Counsel; and any other relevant Chief Officer; and in the case of commitments to be entered into by a Subsidiary Entity of TfL, any director of that entity.

Deputy Chair means the person designated as deputy chair of TfL in accordance with paragraph 3 of Schedule 10 to the GLA Act.

Director means an Officer reporting directly to the Commissioner (other than a Chief Officer) or an Officer reporting directly to a Chief Officer, except for those Officers with an administrative role and/or a position title beginning with "Head of".

DLR means Docklands Light Railway Limited.

Electronic means means by email or via access to a website

Exempt information has the meaning given to it by Part 5A of the Local Government Act 1972.

Financial Authority has the meaning given to it by standing order 143.

GLA means the Greater London Authority.

GLA Act means the Greater London Authority Act 1999 (as amended).

Interest means any interest whether direct or indirect and whether pecuniary or non pecuniary.

Land Authority has the meaning given to it by standing order 153.

LBSL means London Bus Services Limited.

LUL means London Underground Limited.

Mayor's Opinion means the opinion of the Mayor (or of the person to whom the Mayor has delegated the power to give such opinion) which is required pursuant to paragraph 12(1) of Schedule 11 to the GLA Act in relation to the disposal (whether absolutely or for a term of years) by TfL of any part of its Undertaking or property which is not required for the purposes of the discharge by TfL of any of its functions.

Meeting means a Board meeting, unless otherwise specified in the TfL Committees and Panels Terms of Reference document or elsewhere.

Member means a member of TfL appointed in accordance with paragraph 2 of Schedule 10 to the GLA Act.

Officer means any office holder or employee (full or part-time, temporary or permanent) of the TfL Group. This excludes agency staff and consultants unless they are appointed as such by the Chief Officer, or an authorised Officer, to whom they ultimately report.

Operational Land Transaction means the following activities in respect of land and buildings held for operational purposes:

- (a) the purchase, sale or exchange of freehold or leasehold land and buildings;
- (b) the purchase, grant, assignment, surrender, release or variation of leases, covenants, easements and licences;
- (c) any other acquisition or disposal of land and buildings, or interests in, or rights over, land and buildings;
- (d) the settlement of compensation claims relating to land and buildings or interests in, or rights over, land and buildings;
- (e) Commercial Development including entering into any joint venture arrangements in connection with property development, investing in such property developments, giving guarantees and indemnities and the carrying out of any other activity relating to property development; and
- (f) any other activity relating to the acquisition, disposal, development, ownership or management of land and buildings held for operational

purposes, but does not include any of the above activities undertaken for or in connection with the following purposes:

- (i) the protection of any part of any undertaking of any part of the TfL Group;
- (ii) any of the matters listed in (b) insofar as they are entered into pursuant to or of a type contemplated by a PFI Contract.

Other body means a body established in accordance with Standing Orders which is:

- (a) a sub-committee of a committee;
- (b) a body of Members or Officers; or
- (c) a body of Members and Officers.

Panel means each panel listed in standing order 76.

Planning Obligations means any planning obligation made under section 106 of the Town and Country Planning Act 1990 or equivalent planning mitigation arrangements.

Postholder means any Member or Officer named either individually or in a group in Standing Orders.

Procurement Authority has the meaning given to it by standing order 159.

Project means a unique set of co-ordinated activities, with definite starting and finishing points, undertaken by an individual or team to meet specific objectives for new or replacement assets or services within defined time, cost and performance parameters as specified in the business case and which does not form part of a Programme. It has the following characteristics:

- (a) a finite and defined lifespan;
- (b) defined and measurable business products (that is, deliverables and/or outcomes to meet specific business objectives);
- (c) a corresponding set of activities to achieve the business products;
- (d) a defined amount of resources; and
- (e) an organisational structure, with defined responsibilities, to manage the activities.

Where these activities involve the re-procurement of existing services, without material capital expenditure or material change in the methodology for delivery of any services, they will not be a Project for which Programme and Procurement Authority is required.

Programme means a series of related Projects and/or Transactions for which Programme and Project Authority is required from the Programmes and Investment Committee.

Programme and Project Authority has the meaning given to it by standing order 148.

Quorum means the number of members whose physical presence is required for the Board or a Committee to conduct business.

Reporting means filming, photographing or audio recording of proceedings; using any other means for enabling persons not present to see or hear proceedings of a meeting as it takes place or later; and reporting or providing commentary on proceedings of a meeting, orally or in writing.

RfL means Rail for London Limited.

Secretary means the Secretary of the Board.

Sub-Committee means a sub-committee of a Committee.

Subsidiary Entity means a “subsidiary” (as defined by section 1159(4) of the Companies Act 2006 (as may be replaced from time to time)) and which may include any company or Limited Liability Partnership of TfL. That is, a corporate entity is a Subsidiary Entity of TfL if TfL:

- (a) holds a majority of the voting rights in it;
- (b) is a member of it and has the right to appoint or remove a majority of its board of directors; or
- (c) is a member of it and controls alone, pursuant to an agreement with other members, a majority of the voting rights in it.

Where the context permits, **Subsidiary Entity** includes a subsidiary of a subsidiary of TfL.

TfL means Transport for London.

TfL Group means TfL and its Subsidiary Entities.

TfL’s Head Office means 5 Endeavour Square, London E20 1JN.

TfLPF means Transport for London Pension Fund, the trustee of which is TfL Trustee Company Limited.

TfL Trustee Company Limited is the Corporate Trustee for TfLPF.

Transaction means any of the following activities:

- (a) any activity which commits or may be taken to commit, either orally or in writing, the TfL Group to any contractual relationship, including the issue of letters of intent;
- (b) the sale or other disposal of surplus material and assets;

- (c) variations to contracts;
- (d) the settlement of any claim for additional payment by the TfL Group not expressly covered by an existing Authority or an existing contract or arrangement;
- (e) the imposition of remedies for breach of a contractual commitment, for example the calling of bonds and guarantees and the exercise of step-in or termination rights;
- (f) any Commercial Land Transaction or Operational Land Transaction;
- (g) any other Commercial Development activity not included in the definition Commercial Land Transaction or Operational Land Transaction, including the provision of consultancy services for a commercial return; and

(h) the settlement of compensation claims relating to land and buildings; but does not include the process of obtaining tenders (formal or informal), proposals or quotations for supplies, services and works provided they are obtained in accordance with applicable procurement procedures (pan-TfL or local) as issued by the relevant Chief Officer, the relevant Director of Procurement and Commercial or the relevant Head of Commercial from time to time.

TTL means Transport Trading Limited.

Undertaking means a distinct area of work or an organised group of resources, including for example personnel where a task relies on the provision of a service where the Transfer of Undertakings (Protection of Employment) Regulations 2006 can apply.

Variation means a change:

- (a) in the work originally awarded, resulting in a change in the specification and/or deliverables and/or timing that results in either an increase in the financial cost and/or an increase in risk or liability;
- (b) in monetary value of the contract originally awarded due to, for example, an annual negotiated price review, a cost change as result of legislation or other external circumstances;
- (c) of name or other administrative arrangements; or
- (d) which results in the value for money of the subject matter decreasing.

Where the change proposed does not lead to a change in the value of the contract or to a material reduction in the obligations or liabilities of the contractor, that change is not a variation for which additional Authority is required.

Vice Chair means the person appointed as vice chair of a Committee, Panel or other body.

Working days are Monday to Friday, excluding bank holidays.