

TRANSPORT FOR LONDON

AUDIT COMMITTEE

SUBJECT: QUARTER 1 PRODUCTIVITY AND RESOURCES REPORT

DATE: 29 SEPTEMBER 2009

1 PURPOSE AND DECISION REQUIRED

1.1 The purpose of this paper is to inform the Audit Committee of the outputs of, and the use of resources, by the Internal Audit team in Quarter 1 2009/10. The Committee is asked to note the paper.

2 BACKGROUND

2.1 The scorecard covering the first quarter of the year is attached.

3 RECOMMENDATION

3.1 The Audit Committee is requested to NOTE the content of this paper and the attached scorecard.

4 CONTACT

4.1 Contact: Mary Hardy, Director of Internal Audit
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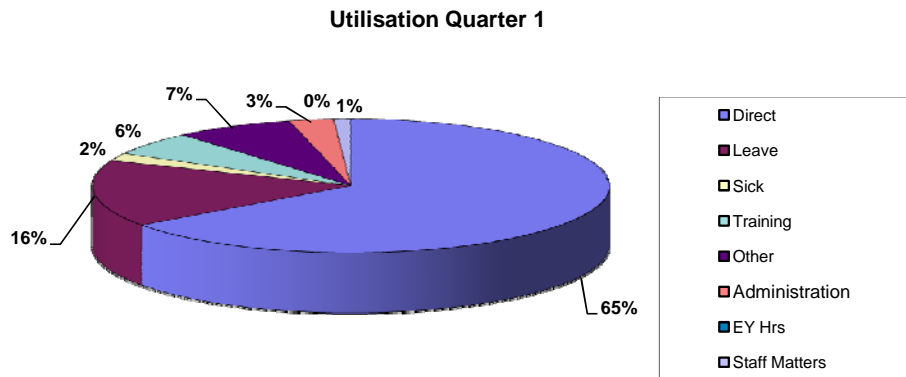
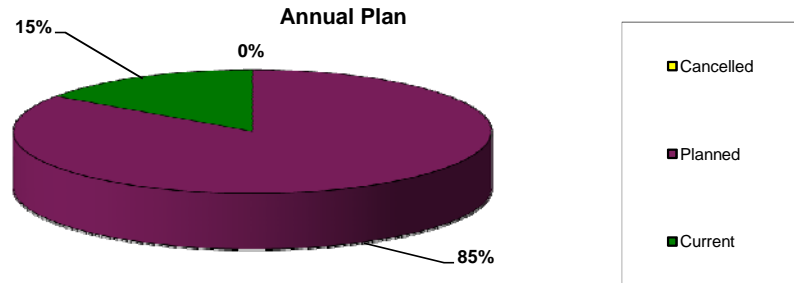
Internal Audit Score Card

Activity

Business & Security Audits					
				Total	YTD
Interim	15	12	3	30	30
Final	26	4	0	29	30

59 audits in progress

Fraud Investigations: New 6 Closed 5 In Progress 40



Target is 70% direct

Q1 (01/04/09 - 27/06/09)

Resources

	Staff Numbers	Joiners	Leavers	Staff numbers Cf	Budget	Variance
Director	1	0	0	1	1	0
Senior Managers and Managers	13	1	0	14	13	1
General Auditors	18	0	0	18	19	-1
Fraud Investigators	5	0	0	5	5	0
Fraud Detection & Prevention	3	0	0	3	3	0
Business Support	7	1	0	8	7	1
Total	47	2	0	49	48	1

Note: A member of our Business Support staff is currently on maternity leave and is included in the Business Support staffing numbers.

Resources:

We had two joiners during the quarter. Senior Audit Manager - Crossrail and Business Support as cover for maternity leave.

At the quarter end, we were in the process of recruiting one IM auditor and four internal auditors. Two of these posts were to fill vacancies that had arisen due to staff moves. The other three were to enable us to cover the growing volume of Crossrail audit work. All of these posts have now been filled with the first of the new recruits joining us in July and the remainder due to start in September and October.

Performance against Budget:

We remain on target to meet our budget for the year.

	Issued	Returned
Customer Feedback Forms - YTD	29	22
Requests for assistance - YTD	6	