

Date: 4 October 2012

Item 4: Actions List

This paper will be considered in public

1 Summary

1.1 This paper informs the Committee of progress against actions agreed at previous meetings.

2 Recommendation

2.1 **The Committee is asked to note the Actions List.**

List of appendices to this report:

Appendix 1 – Actions List

List of Background Papers:

Minutes of previous meetings of the Committee

Contact Officer: Howard Carter, General Counsel
Number: 020 7126 4097
Email: HowardCarter@tfl.gov.uk

Audit and Assurance Committee Actions List (reported to the meeting on 4 October 2012)

Outstanding Actions from the Last Meeting

Minute No.	Item/Description	Action By	Target Date	Status/note
08/06/12	<p>Management Actions Report – Discrepancies in reconciliations between refunds recorded on the Oyster Refunds System and SAP</p> <p>An Internal Audit review of the Oyster Refunds System to be reported in a year's time.</p>	Clive Walker	June 2013	

Actions from the previous meetings

Minute No.	Item/Description	Action By	Target Date	Status/note
C39/11/11	<p>Risk Management Update</p> <p>A further report to be submitted to a future meeting including consideration of the frequency of Leadership Team consideration of risk management issues.</p>	Steve Allen	4 October 2012	On Agenda.
C40/11/11	<p>Internal Audit Quarter 2 Report 2011/12</p> <p>A report to be submitted to a future meeting on fraud risk management.</p>	Steve Allen	7 December 2012	On Committee forward programme.

Minute No.	Item/Description	Action By	Target Date	Status/note
C30/09/11	<p data-bbox="331 245 1167 316">Management Responses to KPMG's Report to Those Charged with Governance – Year Ended 31 March 2011</p> <p data-bbox="331 357 1189 427">To provide details of the level of provisions referred to in the report.</p>	Steve Allen	June 2012	Completed.
C34/09/11	<p data-bbox="331 491 1077 529">An Analysis of Grievance and Disciplinary Cases</p> <p data-bbox="331 564 1167 635">Members to be provided with the total number of grievance and disciplinary cases which were upheld for TfL.</p>	Tricia Riley	June 2012	Completed.